

The Photochemistry of the *N*-Oxide Function

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Angelo Albini was born in Milan in 1946 and studied chemistry in Pavia, presenting a thesis on the photochemistry of phenazine. After a year with the pharmaceutical company Lepetit he came back to the University of Pavia, where he then became associate professor of organic chemistry. He did postdoctoral work at the Max-Planck Institute for Radiation Chemistry, Mülheim (West Germany), and at the University of Western Ontario. Besides the photochemistry of heterocyclic *N*-oxides and in general of heterocycles, his research interests include electron-transfer photosensitized reactions, the mechanism of photofading of dyes, and the chemistry of metal complexes of nitrogen heterocycles.



Marco Alpegiani was born in Caminata in 1954 and studied chemistry in Pavia, presenting a thesis on the photochemistry of azanaphthalene *N*-oxides. He then took a position at the pharmaceutical company Carlo Erba-Famitalia, where he pursues his interest in heterocyclic chemistry, this time in the synthesis of antibiotics.

I. Introduction

Organic compounds containing the imino *N*-oxide function,¹ such as nitrones, azoxy derivatives, and heterocycle *N*-oxides generally exhibit photochemical reactivity, often with moderate quantum yield ($\Phi \geq 0.1$). Indeed, the photolability of these compounds that absorb solar light was already noticed at the beginning of

the century.³ Analogously, the photochemical reactivity of heterocycle *N*-oxides was first suspected when it was accidentally noticed in the instability of dilute solutions prepared for spectroscopic characterization.⁴ Chemical yields are also high, at least in several cases, and this fostered the interest in these photochemical reactions since the beginning of the sixties, so that a review published in 1970 by Spence, Taylor, and Buchardt registered some 200 contributions.^{5,6} Taking into account the work of the subsequent years, it can now be

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said that every relatively simple substrate containing the imino *N*-oxide function has been studied from the photochemical point of view.

The variety of photoprocesses which have been reported include several examples of synthetic value. Furthermore, photochemical reactions of *N*-oxides have also been proposed for diverse applications, such as photoinitiated polymerization or as a model for the biochemical oxygen transfer. On the other hand, an understanding of the mechanism of this photoreaction is less advanced. It would appear that this is due to (i) the products of the photochemical reaction are often labile under the conditions of the experiment or in the isolation procedures, so that care is required to distinguish the primary photoproducts from products arising from further transformation, and (ii) the imino *N*-oxides are mostly nonemitting species and undergo a very rapid photochemical reaction, so that only limited mechanistic information can be obtained from either photophysical studies or flash photolysis.

Besides the above mentioned systematic review, several other discussions concerning this area have been published, including a survey of the photochemistry of heterocyclic *N*-oxides by Kaneko,⁷ a "critical review" by Streith,⁸ a further survey by Buchardt about the preparative aspects of *N*-oxide photochemistry⁹ and other contributions.¹⁰

The present review is intended to gather the new results up to 1980 (or, when possible, 1981) with particular emphasis on the conclusions about the primary photochemical process and the mechanistic interpretation. Whenever necessary, material already presented in the review by Spence, Taylor, and Buchardt is referred to simply as ref 5.

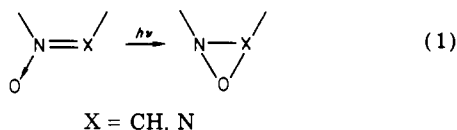
Sections II–VIII are concerned with the photorearrangement processes observed for the various classes of *N*-oxides, section IX with the deoxygenation processes, and section X with a general mechanistic discussion.

II. *N*-Oxides Other Than Heterocyclic Derivatives

This section will consider the photoreactivity of compounds in which the *N*-oxide function is not a part of an aromatic system, viz. of nitrones, azoxy derivatives, azine *N*-oxides, and *N*-oxides of saturated heterocycles.

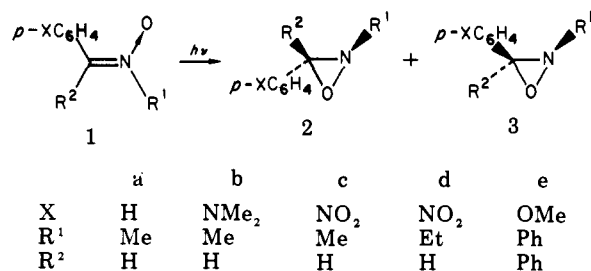
A. Electrocyclic Rearrangement to Three-Membered Rings

The irradiation of nitrones generally causes electrocyclic rearrangement. The same is true for alkylazoxy derivatives (eq 1) although not for their aromatic



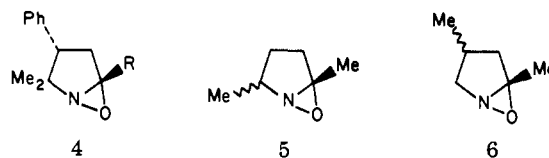
counterparts. The three-membered heterocycles thus formed are themselves photochemically reactive, but, as they absorb at shorter wavelength, it is generally possible to avoid a second photochemical reaction. Thus, the photochemical rearrangement of nitrones and azoxy derivatives represent a useful synthetic pathway

SCHEME I



to oxaziridines and oxadiaziridines. Indeed, in several cases only the photochemical synthesis is known. In recent years, the reaction has been extended to oxaziridines of virtually every structural type, such as oxaziridines bearing only alkyl substituents¹¹ as well as 2- or 3-aryl,^{12,13} 3,3- or 2,3-diaryl-, and 2,3,3-triaryl-oxaziridines.^{12,14–16} Starting from cyclic nitrones, oxaziridines condensed with five-,^{17–20} six-,²¹ and seven-membered¹⁴ rings or spirooxaziridines^{22,30} have been obtained.

The rearrangement is, at least in several cases, stereospecific. Thus, nitrones 1a and 1b (Scheme I) yield only the oxaziridines 2a and 2b by irradiation at -60°C , while 1c and 1d yield a mixture of stereoisomers (2c,d amounting to 31%, 3c,d to 69%), the lack of stereospecificity in this case being attributed to preliminary *trans*-*cis* isomerization of the nitrones.¹³ Thus, it would appear that, with electron-withdrawing substituents, the geometrical isomerization becomes faster than cyclization. In fact, starting from a mixture of *trans*- and *cis*-(methoxyphenyl)nitrones (1e and the corresponding *cis* form) the oxaziridines 2 and 3 were obtained in the same stereoisomeric ratio as the starting material,²³ showing that in this case the cyclization is faster. Another example of stereospecificity is the exclusive formation of the *cis*-oxaziridino[2,3-*a*]pyrrolidines 4 by (formulas 4–6) photorearrangement of the corresponding pyrroline *N*-oxides, while their *trans* isomers are obtained by peracid oxidation of the corresponding pyrrolines.^{19,24}



On the other hand, the oxaziridinopyrrolidines 5 and 6 are reported to be formed in stereoisomeric mixture by photorearrangement of the *N*-oxides, whereas the *cis* isomer of compound 6 is selectively formed by peracid oxidation of the pyrroline.²⁰ Any discussion about the stereoselectivity of the nitrone to oxaziridine rearrangement should take into account the possible intervening of the known¹⁵ *trans*-*cis* isomerization of oxaziridines.

Apropos stereoselectivity, it is interesting to mention the surprisingly high optical yield (5–31%) observed in the photoarrangement of some nitrones in chiral solvents (mixtures of (+)- or (–)-2,2,2-trifluorophenylethanol and fluorotrichloromethane) at -78°C .¹² At room temperature the selectivity is much lower (Scheme II).

As mentioned previously, aliphatic azoxy derivatives analogously rearrange to oxadiaziridines. Thus, the

SCHEME II

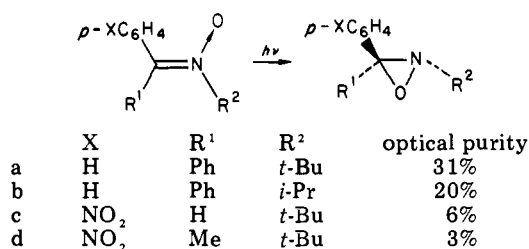
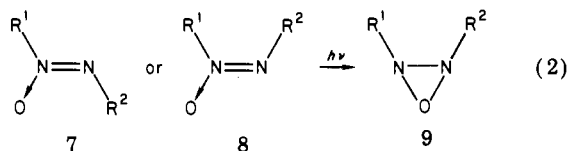


TABLE I. Conversion of Azoxy Compounds to Oxadiaziridines

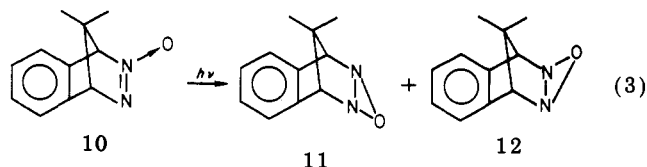
	starting material 7		yield of 9, %	ref
	R ¹	R ²		
a	<i>t</i> -Bu	<i>t</i> -Bu	75	25
b	<i>n</i> -Bu	<i>n</i> -Bu	a	25
c	Me	<i>t</i> -Bu	a	25
d	<i>i</i> -Pr	<i>i</i> -Pr	52	26
e	C ₆ H ₁₁	Me	27	26
f	Me	C ₆ H ₁₁	58	26

^a Not reported.

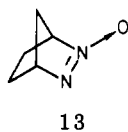
trans-azoxy 7a yields the oxadiaziridine 9a, thought to have *trans* configuration.²⁵ The isomeric azoxy 7d and



8d undergo, besides photochemical interconversion, cyclization to the *trans*-oxadiaziridine 9d.²⁶ (See Table I.) Fused oxadiaziridines are also accessible, although less easily than fused oxadiaziridines. Thus, irradiation of the azoxy derivative 10 at -80 °C affords in high yield the isomeric oxadiaziridines 11 and 12 in the ratio 2.5 to 1, although at room temperature only chelotropic elimination is observed.²⁷ However, no oxadiaziridine

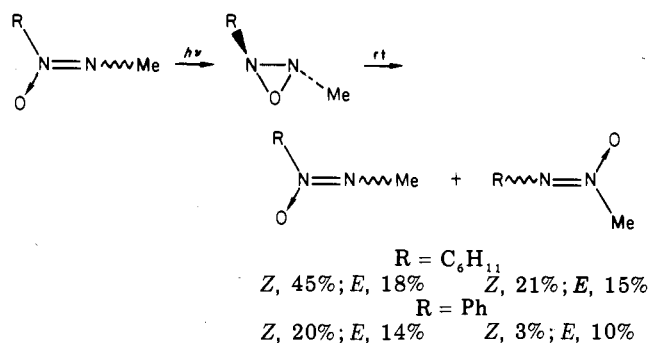


was obtained from the model compound 13.²⁵



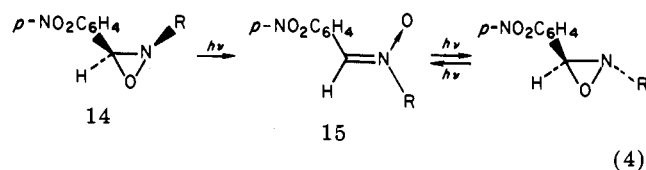
In several cases the photochemical cyclization is thermally or photochemically reversible.²⁸ The total process has been exploited for the preparation of different stereoisomers of the original nitrones or azoxy derivatives. Thus, the first authenticated *trans*-azoxy derivatives were obtained by this method.²⁶ Likewise, oxygen migration from one nitrogen atom to another nitrogen atom in aromatic azoxy derivatives has been observed and rationalized as occurring via reversible cyclization to an oxadiaziridine.²⁸ Correspondingly, photochemical racemization of the chiral oxaziridine 14 takes place through a photochemical equilibrium with the nitron 15.²⁹

SCHEME III

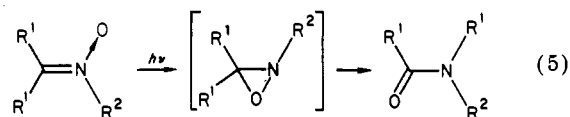
TABLE II. Photolysis Products of Pyrrolidine *N*-Oxides

pyrrolidine <i>N</i> -oxides	yield of products, %				ref
	X	R	17	18	
16a	CH ₂	H	11		33a
b	CH ₂	Me	28		33b
c	CH ₂	CN		24-53	25
d	CO	Ph	31 ^a		17
			5 ^b	42	17
e	CO	Me		50	17
f	CO	<i>t</i> -Bu			46
					17

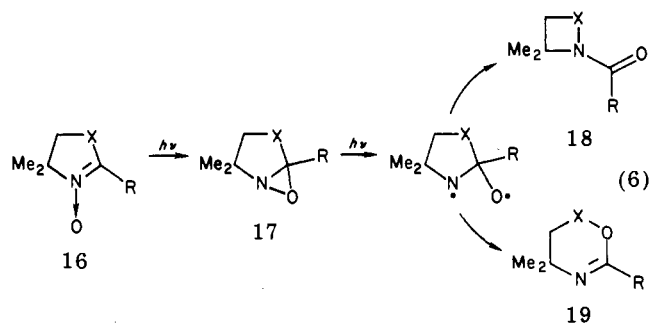
^a After irradiation for 5 h. ^b After irradiation for 18 h.



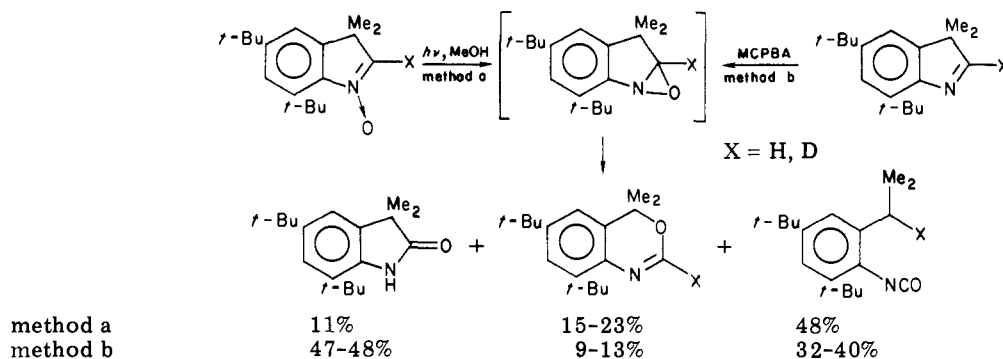
As previously mentioned, oxaziridines are both photo- and thermolabile, generally rearranging to amides.³⁰ This having been ascertained in a number of cases, the hypothesis that is usually made is that oxaziridines, although not isolated, are intermediates when amides are directly obtained from the irradiation of nitrones.



The rearrangement to amides may itself be preparatively useful. Thus, for example, if the carbon atom of the nitron is part of a cycle, a ring-enlarged lactam is formed, via a hypothetical spirooxaziridine.³⁰⁻³² On the contrary, starting with cyclic nitrones, ring contraction is observed if the ring residue migrates. Thus, *N*-acylazetidines³³ and *N*-acyl-β-lactams¹⁷ are obtained from pyrrolidine and pyrrolidine *N*-oxides (Table II), respectively. The ring size remains obviously un-



SCHEME IV

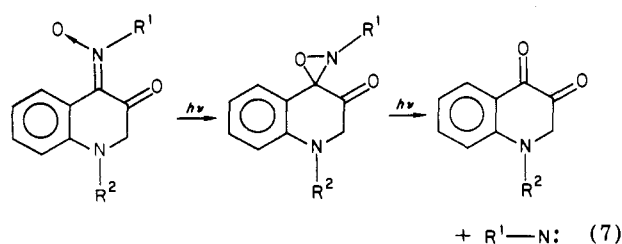


changed if the substituent rather than the ring residue migrates. Thus, *N*-formylpyrrolidones, which subsequently are hydrolyzed to 2-pyrrolidones, are formed from 2-formylpyrrolidine *N*-oxides.³⁴ From a dihydropyrazine *N*-oxide, hydrogen migration to form a pyrazine derivative has been reported.³⁵

In several cases, amides are not the only products obtained, being accompanied by other photoproducts also formally deriving from oxaziridines. Thus, oxazinones, probably arising from oxaziridines via homolysis of the N-O bond and rearrangement, are formed together with β -lactams from pyrrolidinone *N*-oxides,¹⁷ and are the exclusive products from 3*H*-3-oxindole 1-oxides.³⁶ The same concurrence between ring enlargement and ring contraction has been observed in the case of benzodihydrodiazepinone *N*-oxides.¹⁴ Still another process observed in 3*H*-indole *N*-oxides is ring opening to afford isocyanates (Scheme IV).³⁷⁻³⁹ Although the oxaziridine has not been isolated, it has been shown that a similar product distribution is obtained by photolysis of the *N*-oxide and by peracid oxidation of the imine³⁸ (Note that the formation of the isocyanate involves 1,5 intramolecular hydrogen migration).

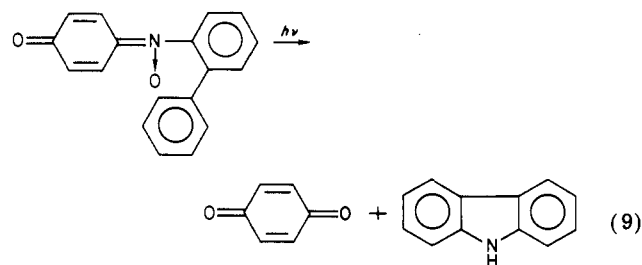
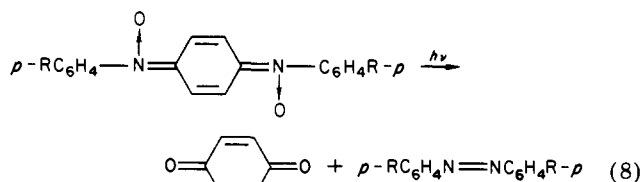
B. Oxygen Shift Accompanied by α -Fragmentation

In several nitrones, the shift of the oxygen atom from the nitrogen to the carbon is accompanied by breaking of the C-N bond, with formation of a carbonyl derivative and a nitrene. Since it is known that nitrenes can be generated by decomposition of oxaziridines, the process may be interpreted as another secondary process depending on previous cyclization to oxaziridine.⁴⁰ In one case the oxaziridine intermediate has actually been isolated.²² Nitrenes generated in this way yield

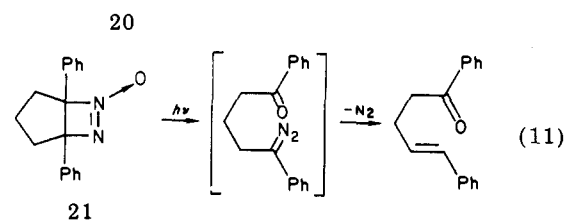
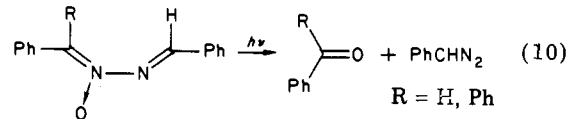


both azo compounds, usually considered as arising from the nitrene triplet state,⁴¹ and products arising from

electrophilic attack, which is characteristic of the nitrene singlet state.⁴¹



A mechanism involving the formation of an oxaziridine and its fragmentation to a diazo derivative has been analogously proposed to rationalize the photochemistry of the azine *N*-oxide 20. The diazo com-

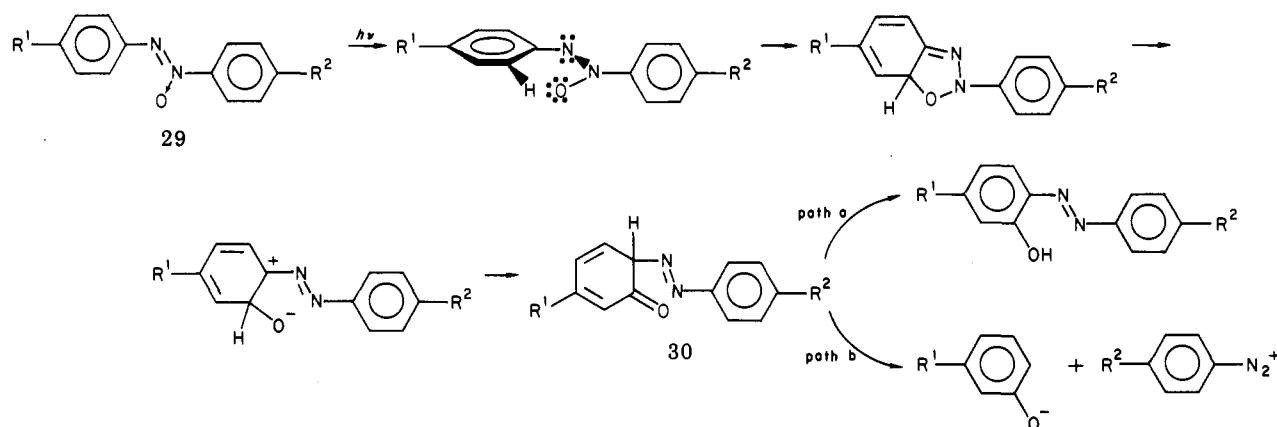


pound decomposes to the corresponding carbene, which is trapped in high yield as the insertion product into benzene.⁴² 3,6-Diphenyl-4,4,5,5-tetramethyl-3,4-dihydropyridazine 1-oxide reacts analogously.⁴² The cyclic azoxy derivative 21 yields a diazo compound which has been detected spectroscopically and further reacts with loss of nitrogen followed by hydrogen shift.⁴² The photochemical cleavage of aromatic azoxy compounds to yield diazonium salts, while formally analogous to the reaction mentioned above, differs in that its mechanism does not involve an oxaziridine⁴³ (see section IIC).

C. Azoxy to Hydroxyazo Rearrangement

The photochemical instability of aromatic azoxy derivatives is well known and has technological relevance

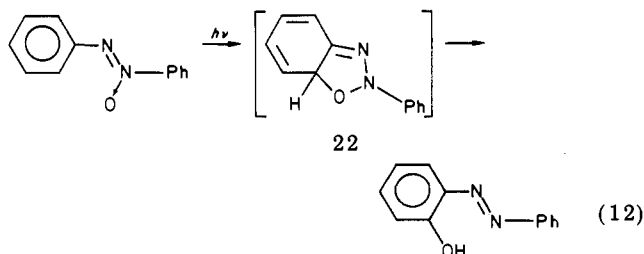
SCHEME V

TABLE III. Relative Quantum Yield from the Azoxy Derivatives 29⁵⁰

R ¹	R ²	ϕ_{rel}
H	H	1
Me	H	0.75
H	Me	0.37
Me	Me	0.47
CF ₃	H	0.27
H	CF ₃	1.7
CF ₃	CF ₃	0.39
Me	CF ₃	1.25

as the azoxy group might in some practical conditions be formed from azo dyes,^{44a} thus impairing the excellent light stability of these dyes. The photolysis leads to hydroxy derivatives and thus has been termed the photo-Wallach rearrangement, although it differs from the authentic Wallach reaction both in the products obtained (*o*-hydroxy- rather than *p*-hydroxyazo derivatives), in the experimental conditions (the thermal Wallach rearrangement requires strongly acidic medium), and in the mechanism (intramolecular rearrangement in the photochemical reaction, rather than nucleophilic attack of water on a dication as is characteristic of the Wallach reaction).^{5,44b} However, the mechanism of the photorearrangement might not be unequivocal. Indeed, Jaffé distinguishes two photoprocesses for azoxybenzene, one with a high quantum yield, requiring protonation or even biprotonation of the excited state, and a low quantum yield one occurring in neutral solutions.⁴⁵

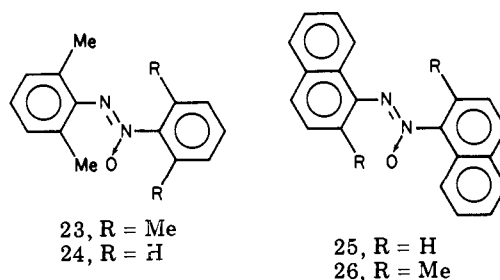
Already in 1954 it was noticed that the attack occurs on the ring "far" from the *N*-oxide function, and a five-membered cyclic intermediate (22) was accordingly proposed. Interestingly, the formation of a polaro-



graphically distinguishable intermediate was reported for the azoxybenzene rearrangement.⁴⁷ Although this intermediate was thought to be an oxadiaziridine, it may be the oxadiazolidine 22.

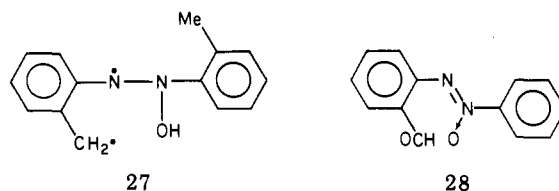
The rearrangement is rigorously specific, a free ortho position in the aromatic ring more distant from the

N-oxide function being required to make the reaction possible. Thus, neither of the azoxybenzenes 23 or 24 undergo this rearrangement.⁴⁸ The azoxynaphthalene



25 yields photochemically the expected 2-hydroxyazo derivative, while when position 2 is unavailable, as in compound 26, no attack at the peri position, which would involve complete loss of aromaticity, is observed.⁴⁹

The reaction does not proceed via hydrogen abstraction. If this were the case, a change in the reaction course would be expected when methyl groups or other groups with good hydrogen-donating properties (such as *i*-Pr, CH₂Ph, CH(OMe)₂) are present to form biradicals of structure 27. In fact, the normal rearrangement

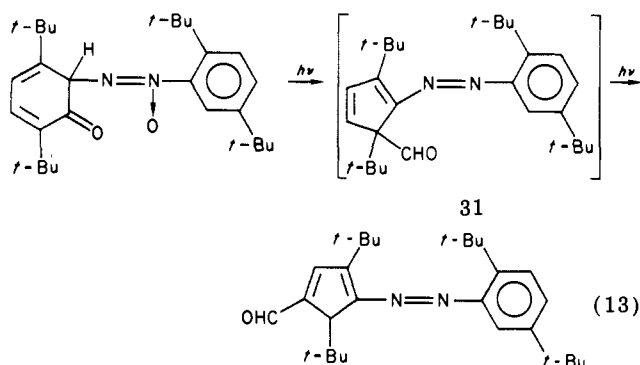


to hydroxyazo derivatives is observed in each of the previously mentioned cases, the only exception being the formyl derivative 28, which does undergo intramolecular hydrogen abstraction analogously to the structurally related *o*-nitrobenzaldehyde.⁴⁸

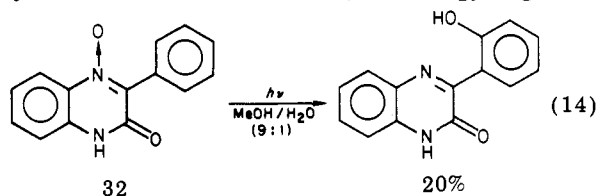
An accurate study of the substituent effect has allowed Bunce to formulate a detailed mechanistic scheme (Scheme V, Table III). The main points are (i) the reaction proceeds from the $n\pi^*$ singlet excited state, (ii) out-of-plane rotation is the first step, and (iii) electrophilic attack by the oxygen atom to the opposite aromatic ring ensues. The electrophilic character of the oxygen attack is shown by the effect of substituents such as CF₃ and Me, while groups like NR₂ and NO₂ inhibit the rearrangement as they change the character of the lowest excited state. This scheme accounts both for the rearrangement to hydroxyazo derivatives (path a) and for the fragmentation to phenolate and di-

azonium ions (path b).^{43,50} Path a predominates in polar solvents, such as alcohols, which function as lone-pair donors, while path b is followed in "inert" solvents, e.g., benzene. In the latter case the diazonium ion can be trapped by adding naphthol.

Further work by Döpp^{43a} supports the proposed mechanism, demonstrates its occurrence in solid state photolysis, and shows the intervening of a further process from the same intermediate **30**, viz. α -cleavage of the cyclohexadienone moiety and hydrogen shift to yield compound **31**, followed by a shift of the formyl group.^{43b} Both steps are further photochemical reactions.



The azoxy-to-hydroxyazo rearrangement is undergone also by mixed aliphatic aromatic azoxy derivatives in concurrence with geometrical and positional isomerism.⁵¹ Finally, it must be observed that a formally analogous rearrangement has been reported for the phenylnitron **32**.⁵² Furthermore, an analogy might be



drawn also with the intramolecular oxygen transfer observed in 2-benzyl- and 2-(β -phenylethyl)pyridine *N*-oxides,⁵³ which should involve a six-membered cyclic intermediate if the analogy holds (see section IX).

D. Other Photoprocesses

Geometrical isomerism around the double bond, when not occurring via an intermediate oxaziridine (see section IIIA), is thought to involve the triplet rather than the singlet excited state (see section X). For the case of azoxybenzene, energetic data about this process have been calculated.^{54a}

There are then a number of photochemical reactions in which the *N*-oxide function plays no special part, such as the electrocyclic rearrangement of azine mono-*N*-oxide,⁴² the sigmatropic rearrangement of 4*H*-pyrazole *N*-oxides,⁴² and the chelotropic elimination of N_2O from cyclic aliphatic azoxy derivatives.²⁷ The nitron **33** is photochemically decomposed to benzo-

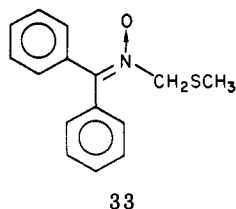


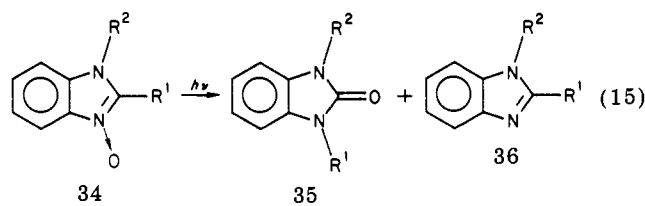
TABLE IV. Photolysis Products of Benzimidazole *N*-Oxides

starting material	R ¹ R ²		solvent	yield of products, %				ref
				35	36	37	38	
34a	Et	<i>n</i> -Pr	MeOH	55				55
b	-(CH ₂) ₂ -		MeOH	43	55			55, 56
c	Et	CH ₂ Ph	MeOH	71	1	1		55, 57
c	Et	CH ₂ Ph	dioxane		4	41	3	

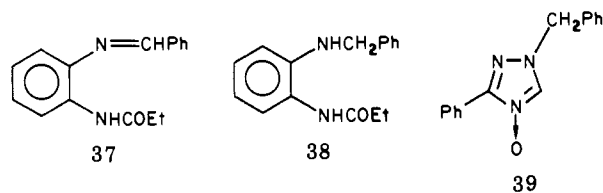
phenone and methyl disulfide,^{54b} a process that is formally analogous to the α -fragmentation discussed in section IIB, but, in fact, involves homolysis of the CH₂-S bond and further cleavage of the radical rather than direct photochemical reaction of the *N*-oxide function.

III. *N*-Oxides of Five-Membered Heterocycles

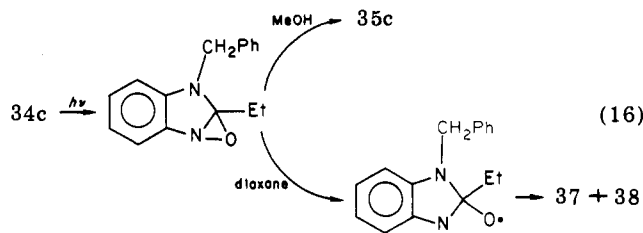
The photochemistry of the *N*-oxides of five-membered heterocycles shows, at least in part, an analogy to the photochemistry of nitrones. In no case was a fused oxaziridine isolated, but such a species might be an intermediate in the formation of lactams that are the main products. A typical example is offered by the photolysis of 3*H*-benzimidazole 1-oxides, which mainly yields benzimidazolones.⁵⁵⁻⁵⁷ Similar photoprocesses



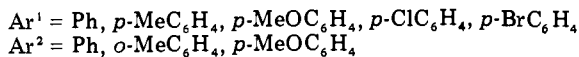
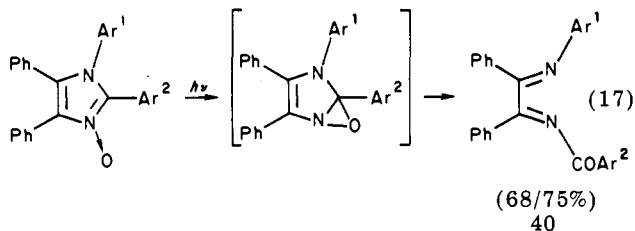
are observed also in the monocyclic series, e.g., in the conversion of 1,2,4-triazole 4-oxides **39** into the corresponding 3-oxo derivatives.⁵⁸



Besides the rearrangement to lactams, fragmentation is also observed. Thus, the benzimidazole *N*-oxide **34c**, while reacting in the normal way in methanol, yields mainly products **37** and **38** by irradiation in dioxane. The formation of the latter two compounds can be rationalized as involving homolysis of the intermediate oxaziridine followed by intra- or intermolecular hydrogen abstraction.⁵⁷ A related fragmentation was

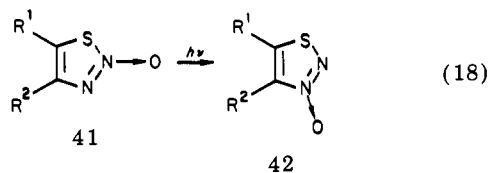


reported to occur on photolyzing some tetraaryl-imidazole *N*-oxides, with stereospecific formation of the *Z,Z*-diimines **40**.⁵⁹ In this case, however, the process was rationalized as a $\sigma^2 + \sigma^2 + \pi^2$ cycloreversion from the intermediate oxaziridine, taking place concertedly,



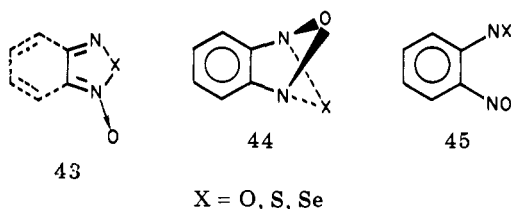
rather than through a multistep process. An analogous process of ring cleavage is observed from 3-hydroxybenzimidazole 1-oxides, with formation of *o*-nitrosoanilines, which are in situ oxidized to the corresponding nitro derivatives.⁶⁰

A second group of photoreactions from five-membered heterocycle *N*-oxides includes 1,2 oxygen migration, e.g., the formation of benzotriazole 2-oxides from the corresponding 1-oxides⁶¹ or the rearrangement of the thiadiazole 41 to 42, which is facilitated in the presence of Cu²⁺ salts.⁶² In this case, too, the inter-



mediacy of an oxaziridine has been proposed.

A third group of reactions is characteristic of furoxans and their chalcogen analogues (43).⁶³ These com-

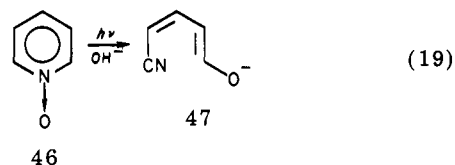


pounds undergo photochemical, as well as thermal, positional isomerism of the *N*-oxide function, accompanied in some cases by deoxygenation. The symmetric intermediate 44 has been hypothesized,⁶⁴ but these rearrangements probably involve reversible cleavage of the heterocyclic ring to form the corresponding ortho-substituted benzene derivatives 45. Flash photolytic and matrix studies have shown the actual formation of the unstable derivatives 45 (X = S, Se).⁶⁴⁻⁶⁷

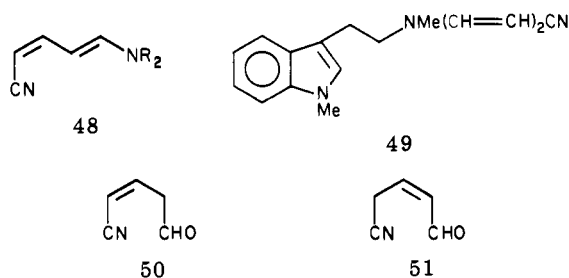
IV. Azabenzene *N*-Oxides

A. Oxygen Shift and Fragmentation

Both in pyridine and diazine *N*-oxides one of the main photoprocesses is the shift of the oxygen to the carbon atom in the α -position, accompanied by cleavage of the N-C α bond. A typical example is offered by pyridine *N*-oxide itself. Although several studies had led to the isolation of only minute amounts of characterizable products,⁵ from which it was obviously difficult to reach mechanistic conclusions, it was recently shown that in basic aqueous solution the photolysis of 46 yields quantitatively the anion 47.^{68,69} Analogously, in the presence of secondary amines, added either before or after the photolysis of the solution, the conjugated nitriles 48 are obtained.⁷⁰ Previously, it had been shown

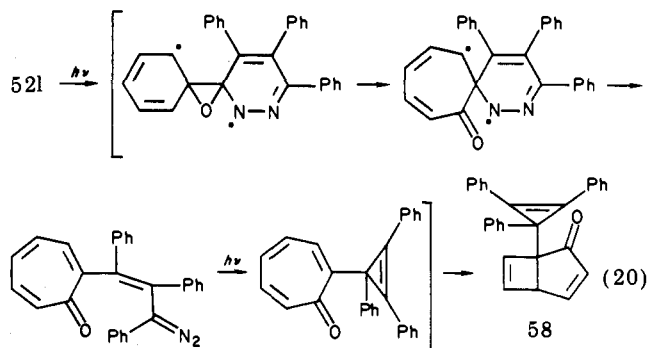


that the irradiation of pyridine *N*-oxide in the presence of *N*^a,*N*^b-dimethyltryptamine affords analogously the nitrile 49.⁷¹

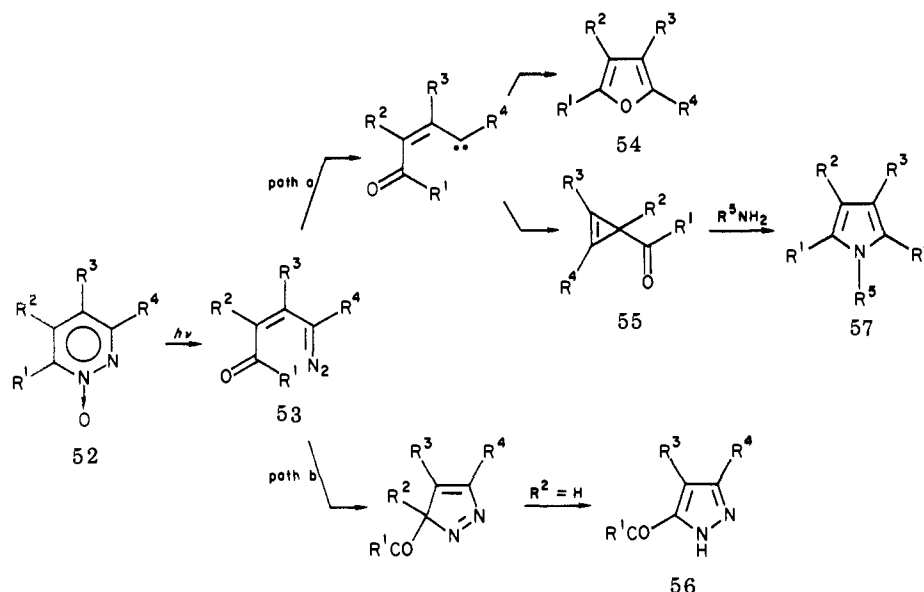


On the basis of these results, Buchardt suggested that, in the absence of bases, unsaturated nitriles such as 50 and 51 are formed and that the low yield of characterizable products obtained are due to the easy polymerization of these compounds.⁶⁸ Indeed, in the absence of bases intractable tars containing nitrile groups (as shown by IR absorption) are obtained predominantly. It is likely that the same main photochemical process is obtained also from several pyridine *N*-oxide derivatives, such as the alkyl derivatives, from which low yields of tractable products and high yield of tars also were obtained.⁵

Pyridazine *N*-oxides react analogously (Scheme VI). The oxygen atom migrates exclusively towards the carbon atom and not towards the nitrogen atom in position 2 so that diazo derivatives 53 are formed, as demonstrated spectroscopically.⁷² The further evolution of compounds 53 follows different pathways. In general a further photochemical step leads to carbenes, which then cyclize to the furans 54 or to the cyclopropyl ketones 55.⁷²⁻⁷⁶ The latter compounds can be trapped in situ with amines to yield the pyrroles 57.⁷³ However, if R⁴ = Ph and in favorable conditions (flow absorbed intensity, low temperature) the diazo derivative has a chance to cyclize before undergoing photolytic decomposition, and the pyrazoles 56 are obtained.⁷² Table V shows that chemical yields are low from alkylpyridazine *N*-oxides, but that they are high with pyridazine *N*-oxides carrying aryl substituents or substituents of strong electronic effect, such as OH or OMe. From the *N*-oxide 52i, 3-cyanopropanal, thought to arise from a further rearrangement of the expected 2-aminofuran (54i) is obtained,⁷⁴ while from 52i product 58 is obtained together with the expected furan 54i. The mechanism



SCHEME VI

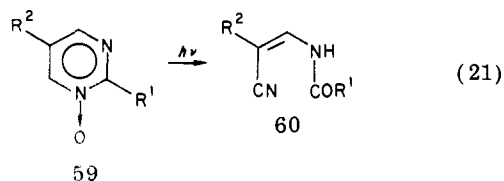
TABLE V. Photolysis Products of Pyridazine *N*-Oxides

	starting material				solvent	yield of products, %			ref
	R ¹	R ²	R ³	R ⁴		54	55	56	
52a					CH ₂ Cl ₂	5-10 ^a	8-10		73, 74
b				Me	CH ₂ Cl ₂	5-10 ^a	8-10		74
c			Me		CH ₂ Cl ₂	5-10 ^a	8-10		74
d	Me				CH ₂ Cl ₂	5-8	10		73, 74
e	Me			Me	CH ₂ Cl ₂	5-8	10		73, 74
f				Ph	CH ₂ Cl ₂	40-50			74 ^c
g				OMe	CH ₂ Cl ₂	40-50			74 ^c
h				OH	CH ₂ Cl ₂	50-55 ^b			74 ^c
i				NH ₂	CH ₂ Cl ₂				74 ^{c,d}
j	Ph			Ph	Me ₂ CO			48	72
k	Ph		Ph	Ph	Me ₂ CO/C ₆ H ₁₂	67		27	72 ^e
l	Ph	Ph	Ph	Ph	EtOH	43			72 ^f
	Ph		Ph	Ph	Me ₂ CO	25 ^h	9		75 ^g
	Ph	Ph	Ph	Ph	Me ₂ CO	30 ^h			75, 76 ^{g,i}

^a Isolated as the adduct with *N*-phenylmaleimide. ^b As the tautomeric β,γ -butenolide. ^c Deoxygenation amounting to 20-30% is also observed. ^d Cyanopropanal is obtained in 3-4% yield. ^e By irradiation with a more powerful lamp. ^f By irradiating at -65 °C. ^g Deoxygenation amounting to 15% is also observed. ^h Total yield of the furan and its photooxygenation products, viz. *cis*- and *trans*-dibenzoylstilbenes. ⁱ Product 58 (see eq 26) is obtained in 25% yield.

proposed is a variation of the one discussed above, as it involves shift of the oxygen atom and of a ring residue before fragmentation to yield a diazo derivative.^{75,76}

In the case of pyrimidine *N*-oxides (59), the photo-rearrangement takes place analogously with selective migration of the oxygen atom towards C₂ and not C₆ and formation of the 3-(acylamino)acrylonitriles 60⁷⁷⁻⁸⁰ (Table VI). In several cases, 4-acylimidazoles are also



obtained (see section IIIB). In that case, the oxygen atom migrates towards C₆.

B. Formation of 2-Acylpyrroles and Analogues

This process could be classified as a variation of the ring cleavage discussed in section IVA if it is admitted

TABLE VI. Photolysis Products of Pyrimidine *N*-Oxides

	starting material		solvent	product 60, %	ref
	R ¹	R ²			
59a			benzene	21	77, 78
b	Me		benzene	2.5	77 ^a
c		Me	benzene	22	77, 78
d	NH ₂		MeCN	64	77
e	OMe		MeCN	11	80 ^a
f		OMe	MeCN	11	80 ^b

^a 4-Formylimidazoles are also obtained. ^b 4-(carboxymethyl)imidazole is obtained as the main product.

that in both cases the nitrene 61 is the intermediate (see e.g., ref 79, 81). In the case of pyridine *N*-oxide it has

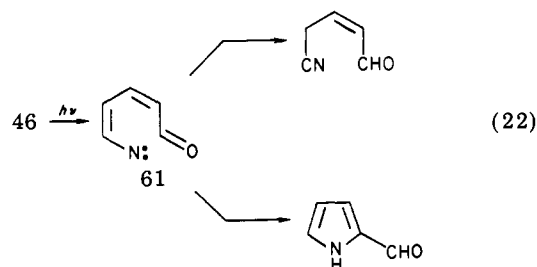


TABLE VII. Photolysis Products from Some 2-Substituted Pyridine *N*-Oxides⁸¹.

starting material, R	yield of products, % ^a	
	63	64
62a Me	19.5 (0.25)	8.5 (0.75)
b Ph	3.5 (1)	
c OMe	10 (10)	2 (3)

^a Yields in the presence of Cu²⁺ salts. In parentheses the yields in the absence of the salt.

TABLE VIII. Formation of 4-Acylimidazoles (66) by Photolysis of Pyrimidine *N*-Oxides

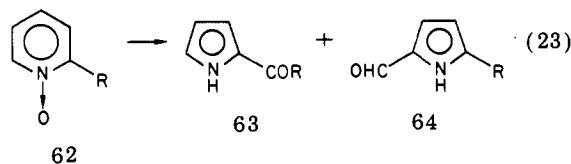
starting material			solvent	yield, %	ref
R ¹	R ²	R ³			
65a		Me	MeOH	28	83
b		Ph	MeOH	17	83
c	Me	Me	MeOH	15	84
d	Me	Cl	benzene	53	84
e	Me	OMe	MeOH	53	84

TABLE IX. Formation of 1,3-Oxazepines by Photolysis of Pyridine *N*-Oxides

starting material				solvent	yield 68, %	ref
R ¹	R ²	R ³				
67a	Ph		Ph	benzene	^a	85
b	Ph	Ph		benzene	84-87	85
c	Ph	Ph	Ph	benzene	80	85
d	CN			CH ₂ Cl ₂	30	82
e	CN		Me	CH ₂ Cl ₂	16	82

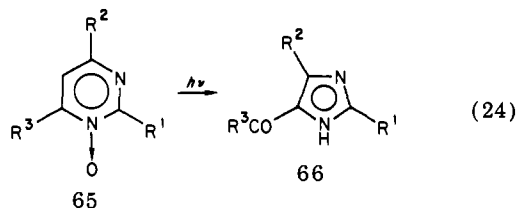
^a Too unstable to allow isolation.

been noticed that the yield of 2-formylpyrrole is increased from 1-10% up to 40% in the presence of Cu²⁺ salts, and this has been taken as an evidence for the intermediacy of a nitrene.^{81a} The effect of copper ions has been observed for several substituted pyridine *N*-oxides, with the exception of those carrying a methoxy group. Interestingly, in the 3-monosubstituted pyridine *N*-oxides, the oxygen migrates towards C₆ and not C₂, whereas in the 2-monosubstituted derivatives migration in both directions is observed.⁸¹ See Table

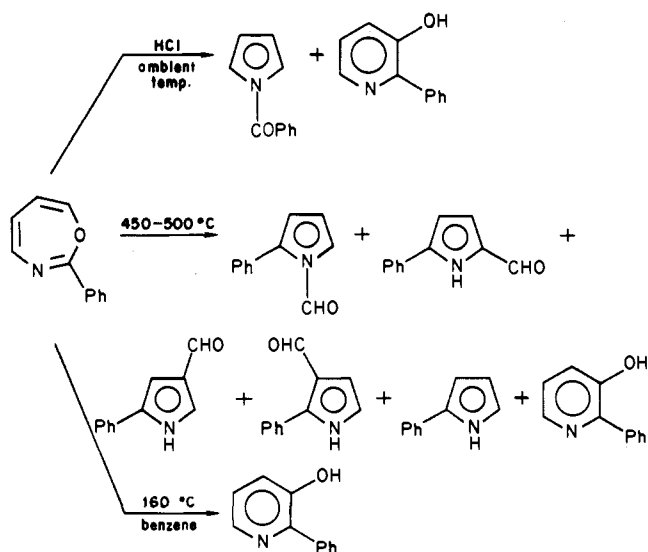


VII. This process is the main one in 2,6-dicyanopyridine *N*-oxide⁸² and is observed as a minor pathway in several other pyridine *N*-oxides.

Several pyrimidine *N*-oxides undergo this type of rearrangement in good yield, with selective formation of 4-acyl- rather than 2-acylimidazoles.^{83,84} See Table VIII.

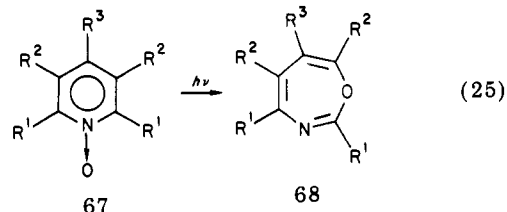


SCHEME VII

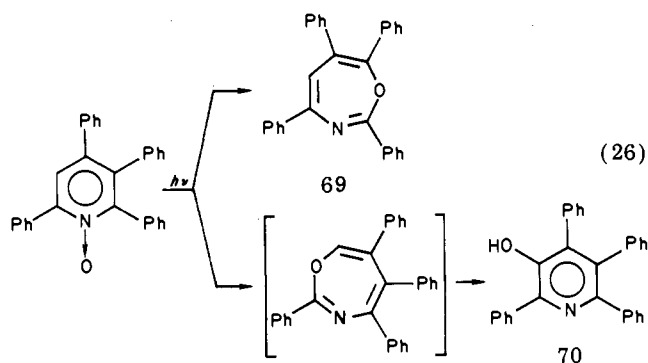


C. Ring Expansion

Ring expansion with formation of 1,3-oxazepine and analogues is observed only in a limited number of cases involving phenyl- or cyano-substituted azabenzene *N*-oxides.^{82,85} Among phenyl-substituted pyridine *N*-oxides, 67a gives only a low yield of 68a, which was too unstable to allow purification, while 68b and 68c are obtained from the respective *N*-oxides in 80% yield



(Table IX). From 2,3,4,6-tetraphenylpyridine *N*-oxide the oxazepine 69 and the hydroxypyridine 70 are obtained. The latter product could arise by rearrange-



ment of the alternative oxazepine.⁸⁵

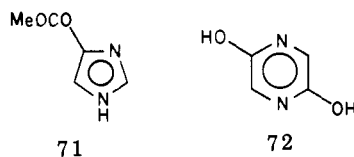
1,3-Oxazepines are generally unstable towards heat and acids. The decomposition of 2-phenyl-1,3-oxazepine (Scheme VII) has been studied in different conditions,⁸⁶⁻⁸⁸ and determined to give, in different yields, 3-hydroxypyridine and the various benzoylpyrroles. As 3-hydroxypyridines, 1-acylpyrroles, and pyrroles, which could arise from the hydrolysis of the latter, are often obtained in low yield from the chromatography of the reaction mixture after photolysis of pyridine *N*-oxides,⁵ it can be hypothesized that unstable oxazepines are formed, at least in low yield, also in those cases, and

that they are decomposed during the workup. A related case is the formation of 3-phenyl-1,2,4-triazoles in 11–82% yields from the photolysis of some 6-phenyl-1,2,4-triazines 4-oxides, possibly through the intermediacy of oxatriazepines.⁸⁹ On the contrary, it does not appear likely that 2-acylpyrroles (see section IVB) are secondary products from 1,3-oxazepines. Indeed, 1,3-oxazepines are converted thermally into 2-acylpyrroles only under very drastic conditions⁸⁷ and they appear to be photochemically stable.⁸⁵

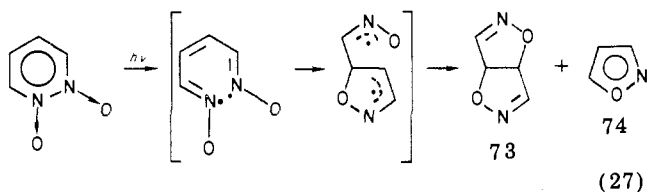
D. Other Processes

Migration of the oxygen to the β -carbon atom is generally unimportant, an exception being the formation of the imidazole 71 as the main product (34% yield) from 5-methoxypyrimidine 1-oxide.⁸⁰

Pyridones and analogue derivatives are often formed, particularly from pyrimidine *N*-oxides,^{83–84} but in yield rarely exceeding 10%.⁵ However, in the case of pyrazine-1,4-dioxide, a double rearrangement of this type takes place, yielding 2,5-dihydroxypyrazine (72).⁹⁰

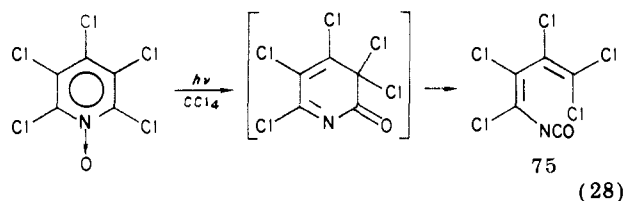


Pyridazine 1,2-dioxide undergoes a completely different photoprocess, with formation of 3,4-dihydroisoxazole-[4,5-*d*]isoxazole (73) and isoxazole (74, arising through a retrocyclization from the former). This process has

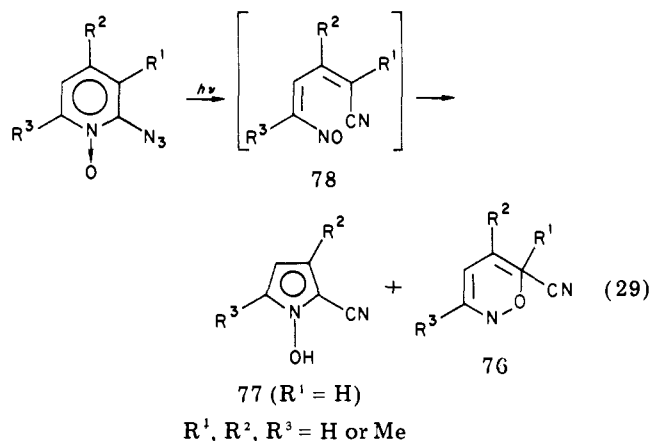


been rationalized as involving initial homolysis of the N–N bond.^{91–92}

In the case of pentachloropyridine *N*-oxide, the normal migration of the oxygen atom to the position 2 is followed by cleavage of the C₂–C₃ bond, with formation of the isocyanate 75.^{93,94} The intermediacy of a 3*H*-2-pyridone has been postulated.



A different reaction is observed in the case of 2-azidopyridine *N*-oxides, which are photochemically transformed in good yield into 1,2-oxazines (76) or *N*-hydroxypyrroles (77), the latter products arising from further thermal reaction of the former.⁹⁵ This reaction, which has ample thermal analogy,^{96–98} has to be considered a reaction of the nitrene formed from the primary photochemical act. This species is postulated to cleave to give the nitroso derivative 78, which then undergoes electrocyclic rearrangement to 77. Therefore, this reaction, as well as the photochemical decompo-



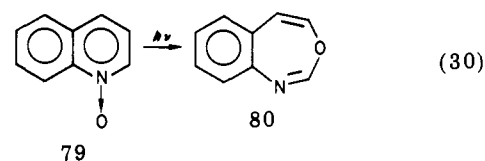
sition of 4-azidopyridine *N*-oxide,⁵ has to be considered a reaction of the azido function rather than of the *N*-oxide function.

V. Azanaphthalene *N*-Oxides

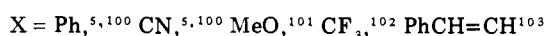
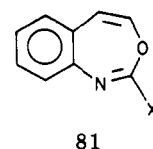
The photochemical reactions of azanaphthalene *N*-oxides are generally better characterized than the reactions from azabenzene *N*-oxides, as the products are generally more stable—at least relatively—and chemical yields are higher. Furthermore, three general processes account for the large majority of observed reactions and the influence of the irradiation conditions has been more fully investigated.

A. Ring Enlargement

The most frequent photoprocess from azanaphthalene *N*-oxides in aprotic solvents is the ring enlargement to form benzoxazepines and their aza analogues. The photochemical method is surely the most practical synthesis available for this class of heterocycles. Care must be taken, however, due to the sensitivity to moisture of many of these compounds. Thus, e.g., the irradiation of quinoline *N*-oxide leads to a complex mixture unless it is carried out in rigorously anhydrous conditions. In that case and by avoiding chromatography, a 50% yield of pure 3,1-benzoxazepine is obtained by means of extraction and distillation. Product 80 can be stored indefinitely in the absence of moisture.⁹⁹

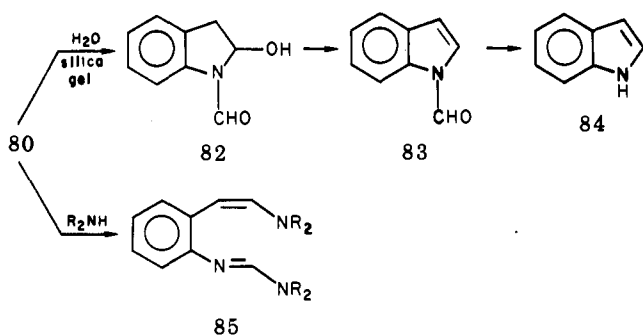


When substituents having a strong electronic effect or extending the conjugation are present in position 2, 3,1-benzoxazepines (81) are much more stable and chromatographic purification is possible. These

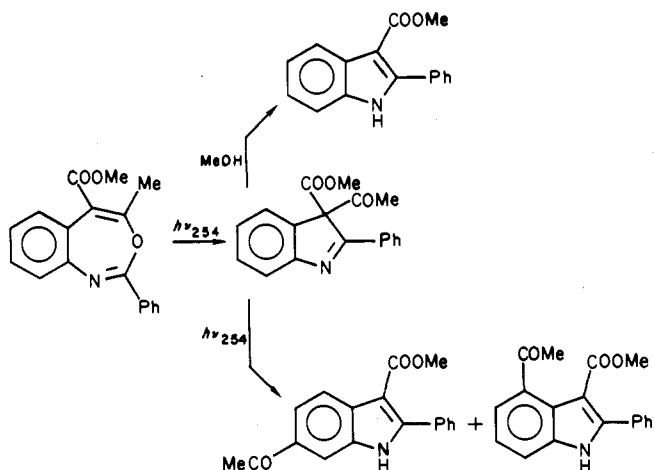


products can be obtained from the corresponding

SCHEME VIII



SCHEME IX

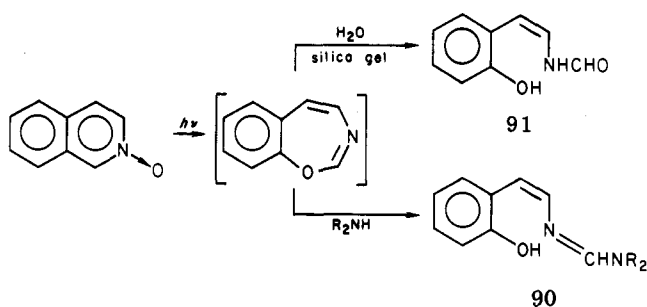


quinoline *N*-oxides in yields from 70 to 90%, sometimes on irradiation in both protic and aprotic solvents.^{5,100-103} 3-Phenylquinoline *N*-oxide also yields 80% of the corresponding benzoxazepine,⁵ but usually the stabilizing effect is only observed when the substituents are in position 2.

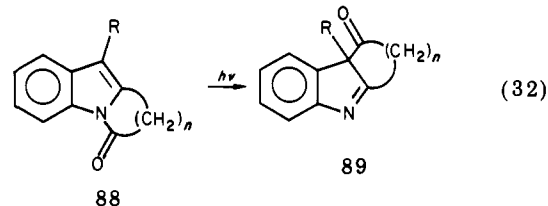
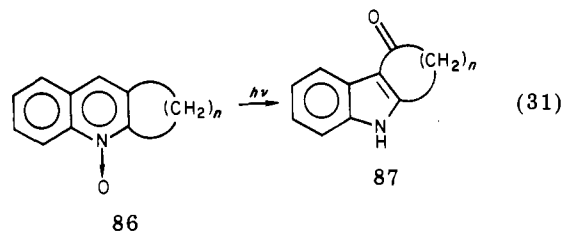
In the absence of these stabilizing substituents, acid- and silica gel-catalyzed water addition is facile. Thus, chromatographic work up of the irradiation mixture from quinoline *N*-oxide yields 2-hydroxyindoline-1-carboxaldehyde (82) and lesser amounts of products 83 and 84, which arise from further reaction of 82 (Scheme VIII). The same results are obtained by chromatography of purified 80. Other nucleophilic additions are possible. Thus, with amines, ring cleavage to form 85 is observed.⁹⁹ 2-Cyano-3,1-benzoxazepine behaves differently, in that silica gel or, in general, Lewis acids cause rearrangement to 2-cyano-3-hydroxyquinoline.⁵

As for the photochemical behavior, it has been noticed that overirradiation causes a much lower yield of 3,1-benzoxazepine.¹⁰⁴ More precisely, it has been shown that a series of 3,1-benzoxazepines is stable towards Pyrex-filtered light, but rearranges on irradiation at 254 nm yielding 3-acylindoles (Scheme IX).¹⁰⁵ Further irradiation causes detachment (in protic media) or migration (in inert media, a photo-Fries rearrangement) of the acyl group.^{106,107} The total process offers a valuable entry into some classes of substituted indoles. 3-Acylyndoles are in other cases obtained without isolation of an intermediate oxazepine.¹⁰⁸ In the case of the quinoline *N*-oxides 86, the yield of the 3-acylindoles

SCHEME X

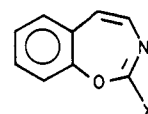


87 is 60–80% when $n = 3$, but it is only 10% when $n = 4$. In the latter case, a 1-acyl-2-hydroxyindoline



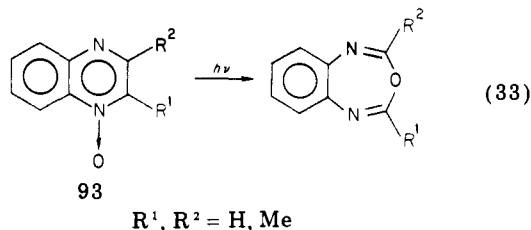
analogue to 82 is the main product.¹⁰⁹ The mechanistic conclusions that have been drawn on this basis appear to be questionable, as it has been shown recently that 1-acylindoles (88) are photochemically converted into compounds 89.¹¹⁰

An analogous situation is found in the case of isoquinoline *N*-oxides, which are converted into 1,3-benzoxazepines (Scheme X). The parent compound has not been isolated, possibly because the diene part of this heterocycle makes it too susceptible to rapid polymerization. However, its formation has been deduced from the efficient trapping with amines to yield product 90.⁹⁹ Chromatography of the irradiation mixture leads, in analogy to the case of quinoline *N*-oxide, to hydrated products, such as the phenols 91, which, however, are themselves rather unstable, due to oxidation and polymerization processes, so that even the yield of secondary products is rather low.^{99,111} In some cases, the action of acids on the irradiation products leads to indoles. Thus, 1-(alkoxycarbonyl)-4-hydroxyindoles can be prepared from 5-((alkoxycarbonyl)amino)isoquinoline 2-oxides by irradiation in aprotic solvents, followed by acid treatment.^{112a} Substituents with strong electronic effects, when present in position 2, make 1,3-benzoxazepines much more stable, so that they are obtained from the corresponding isoquinoline *N*-oxides in yields of 55–90%.^{5,101,102,112b}



X = Ph,⁵ CN,⁵ MeO,¹⁰¹ CF₃¹⁰²

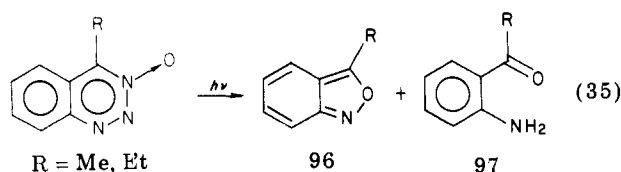
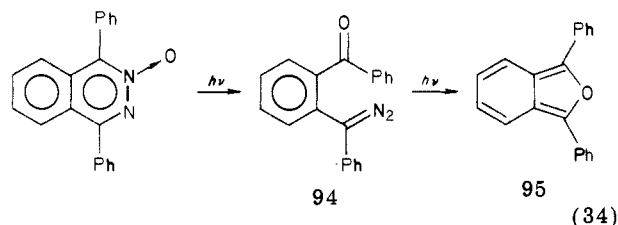
Among diazanaphthalene *N*-oxides the reactivity is more differentiated, some of them, e.g., cinnoline and phthalazine *N*-oxides, not undergoing any ring enlargement. Quinoxaline *N*-oxide reacts in a different way, but its methyl derivatives **93** do yield 3,1,5-benzoxadiazepines, which are rather unstable.¹¹³ Again, a



cyano group in position 2 or a phenyl group in position 2 or 4 make these seven-membered heterocycles much more stable.⁵ Finally, 4-phenylquinazoline 3-oxide has been found to yield the corresponding 1,3,5-benzoxadiazepine.¹¹⁴

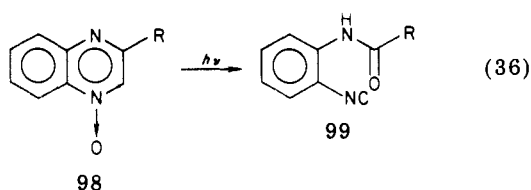
B. Ring Cleavage

Ring cleavage is less common among azanaphthalene than azabenzene *N*-oxides and the examples are mainly found among diaza- or polyazanaphthalene *N*-oxides. Two types of processes can be recognized, the first involving shift of the oxygen to the carbon atom in the α -position and cleavage of the $N-C_\alpha$ bond, analogously to what was seen for azabenzene *N*-oxides in section IVA. Thus, 1,4-diphenylphthalazine 2-oxide is directly converted into the diazo ketone **94** which then loses nitrogen to yield compound **95**.⁷² The same mechanism



is probably operative in the case of benzotriazine 3-oxides, from which the main products are anthranils (**96**, in 80–95% yield) together with the amino ketones **97** (ca. 5%).¹¹⁵

A different process of ring cleavage is found in the case of quinoxaline *N*-oxide. In this case, oxygen shift

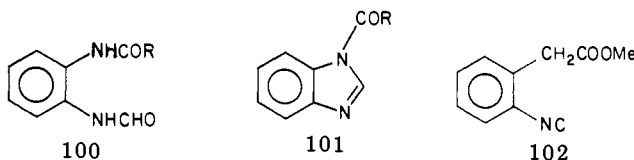


to the atom in the β position and cleavage of the $C_\alpha-C_\beta$ bond is observed. The reaction does not involve the intermediate formation of 3,1,5-benzoxadiazepine¹¹³ and

TABLE X. Formation of the Isonitriles **99** by Photolysis of Quinoxaline *N*-Oxides

starting material, R	solvent	yield, %	ref
98a	C_6H_{12}	65	113
b	OMe	80	113
c	NHCOOMe	100	116

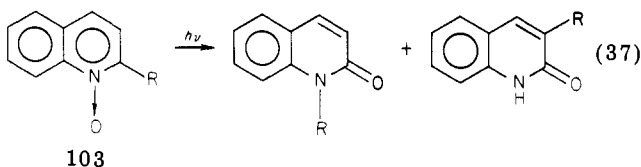
is the main process also from the methoxy derivative **98b**¹¹³ and the carbamate **98c**,¹¹⁶ although in the latter case a different mechanism has been invoked (Table X). The isonitriles **99** are rather unstable, so that secondary processes, such as water addition to yield the diamides **100** or intramolecular attack to yield the benzimidazoles **101** are usually observed. In addition to quinoxaline



N-oxides, this type of cleavage is observed also from 3-methoxyquinoline *N*-oxide, which yields **102**,¹⁰¹ a fact which seems to point to a particular influence of the methoxy group in position 3 (cf. ref 98b).

C. Rearrangement to Lactams

Rearrangement to lactams is the ubiquitous photoprocess from azanaphthalene *N*-oxides in protic media. Thus, with a few exceptions (see below), quinoline *N*-oxides are converted into carbostyrils with 70–100% yield in protic media, while a certain amount of these products is obtained also in aprotic media (ca. 10% from the parent compound, but the yields are greater from some of its derivatives, reaching 60% from 2-bromo- and 2-chloroquinoline *N*-oxides⁵). The group

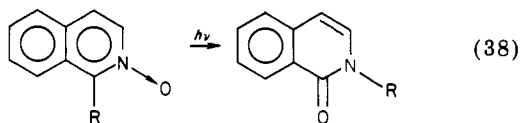


originally in position 2 migrates concurrently to the nitrogen atom and to the carbon atom in position 3. A study with the 2-deuterio derivative **103** ($R = D$) shows that, in water, migration to C_3 clearly predominates, while in aprotic media the two processes occur with almost equal probability.¹¹⁷ With $R = Me$ the two migrations are observed with similar yields,^{5,108} while with $R = Ph$ this kind of rearrangement has been observed only from 4-carboxy-2-phenylquinoline *N*-oxide, in which case the phenyl group migrates exclusively to C_3 .¹⁰⁸ The chloro, bromo, and MeC_6H_4S groups migrate only to the 3-position,⁵ while with $R = COOMe$ the substituent is eliminated with formation of carbostyril,⁵ a reaction which might involve migration of the substituent to the nitrogen atom followed by hydrolysis during the workup. 2-Methoxy-, 2-cyano-, 2-trifluoromethyl-, and 2-phenylquinoline *N*-oxides (with the exception seen above) do not rearrange to lactams, ring enlargement (see section VA) remaining the main process also in protic solvents.

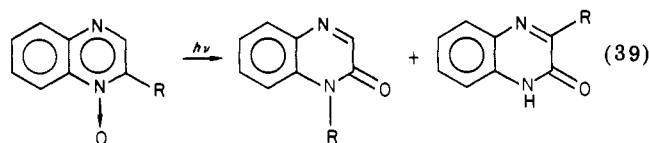
Overirradiation causes secondary photoprocesses from the carbostyrils, with formation of cyclobutane dimers, which usually crystallize out during the irra-

diation,⁵ or ionic addition, e.g., of methanol,¹¹⁸ or radical addition, e.g., of radicals formed from carboxylic acids.¹¹⁹

Isoquinoline *N*-oxides analogously give isocarbostryls in medium-to-high yield in protic solvents.^{5,100,111,112} From 1-alkyl-substituted isoquinoline *N*-oxides (with Me, PhCH₂, PhMeCH groups), *N*-alkyl-substituted isocarbostryls are obtained.¹¹¹ 1-Methoxy-,¹⁰¹ 1-phenyl-,⁵ and 1-cyanoisoquinoline *N*-oxide⁵ do not undergo this type of rearrangement.

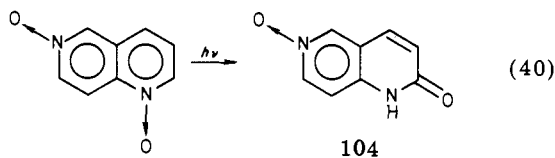


Among diazanaphthalene *N*-oxides, this process is documented from quinoxaline *N*-oxides, which behave analogously to quinoline *N*-oxides, with concurrent migration of alkyl substituents originally in position 2 to the nitrogen atom or to the carbon atom in position 3.¹¹³ From some quinoxaline 1,4-dioxides it has been



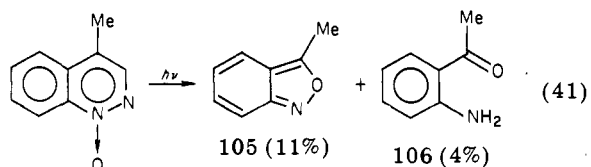
shown that the rearrangement takes place twice, yielding first quinoxalin-3-one 1-oxides and then quinoxaline-2,3-diones¹²⁰ (see, however, section VD for a different process). Notice that the irradiation of quinoxaline 1,4-dioxide in 0.5 N hydrochloric acid yields a chloroquinoxaline 1-oxide, with apparent substitution of a OH with a Cl group.¹²¹

In the case of 1,6-naphthyridine 1,6-dioxide only the oxygen atom in position 1 migrates, yielding the lactam 104.¹²²



D. Other Photoprocesses

The photoprocesses considered in the previous sections account for the large majority of the reported reactions. Unless the formation of 3-acylindoles (see section VA) is considered to be an independent rather than a secondary process, only a few reactions remain to be considered, the main group being formed by elimination reactions from polyazanaphthalene *N*-oxides having vicinal nitrogen atoms. Thus, 4-methylcinnoline 1-oxide gives in low yield products 105 and 106, with formal loss of HCN.¹²³ The former



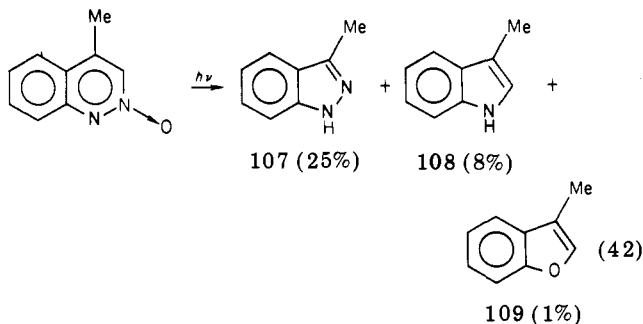
process is analogous to the nitrogen elimination from phthalazine and benzotriazine *N*-oxides (cf. eq 35). The isomeric 4-methylcinnoline 2-oxide yields products 107,

TABLE XI. Formation of Benzimidazolones 113 by Photolysis of Quinoxaline 1,4-Dioxides in Methanol

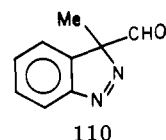
starting material		yield	ref
R ¹	R ²		
Me	CH ₂ Ph	25	120
Ph	CH ₂ Ph	29	120
CH ₂ Ph	COPh	9	120
Ph	COC ₆ H ₄ X ^a	40-62	124

^a X = *o*- or *p*-Me, OMe, NO₂, Br.

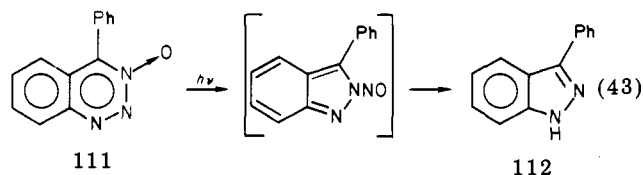
108, and 109 with formal loss of CO, NO, and N₂, respectively.¹²³ The formation of products 107 and 109



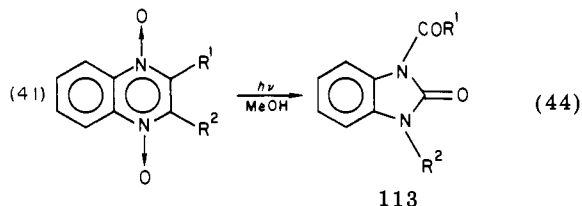
can be understood as involving the intermediacy of the 3*H*-benzopyrazole derivative 110, thus making this reaction a variation of the process discussed in section IVB. Product 108 could result from the decomposition



of a nitroso derivative and indeed there is evidence that a nitroso derivative is an intermediate in the analogous photochemical decomposition of the benzotriazine 3-oxide 111 which gives product 112.¹¹⁵



Finally, a characteristic reaction of quinoxaline 1,4-dioxides is the photorearrangement to *N*-acylbenzimidazolones 113.^{120,124,125} See Table XI. This process

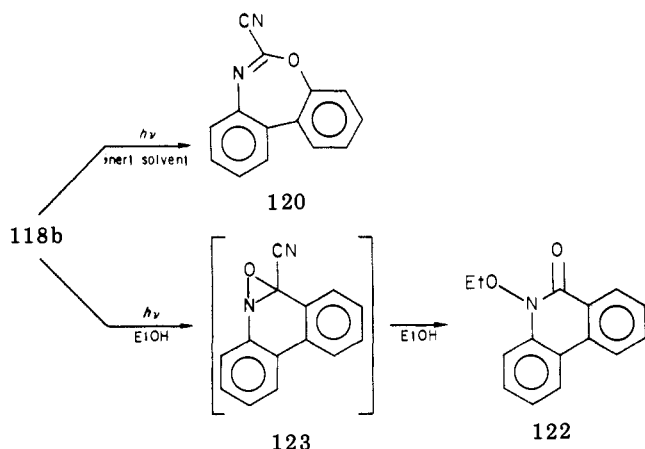


does not involve previous rearrangement of one of the *N*-oxide functions to give a quinoxalin-3-one 1-oxide, because these compounds, when formed, rearrange differently (see section VC). Though the mechanism remains unclear, this reaction appears interesting from the preparative point of view.

VI. Azaphenanthrene *N*-Oxides

The photochemical reactions reported for azaphenanthrene *N*-oxides are analogous to the two main

SCHEME XI



processes observed for azanaphthalene *N*-oxides (see section VA and VC).

A. Ring Enlargement

Both 1-azaphenanthrene and 4-azaphenanthrene *N*-oxides (114 and 115) undergo ring enlargement to the naphthoxazepines 116 and 117, respectively, by irradiation in aprotic solvents.¹²⁶ These naphthoxazepines

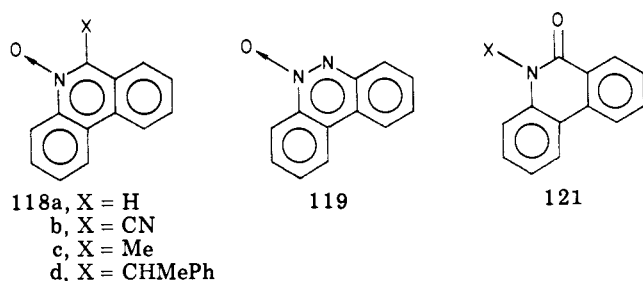


114, X = N→O; Y = CH
115, X = CH; Y = N→O

116, X' = N; Y' = CH
117, X' = CH; Y' = N

are more stable than 3,1-benzoxazepines, but they are analogously hydrolyzed on silica gel to give *N*-formylhydroxyindolines, the benzo analogues of compound 82.

However, neither 9-azaphenanthrene *N*-oxide (or phenanthridine *N*-oxide, 118a) nor benzo[*c*]cinoline *N*-oxide (119) undergoes photochemical ring enlargement, the former rearranging only to the corresponding lactam also in aprotic solvents, the latter undergoing only photodeoxygenation.^{5,126} 6-Alkylphenanthridine *N*-oxides behave like the parent compound, but 6-phenyl⁵ and 6-cyanophenanthridine *N*-oxides¹²⁷ do rearrange to the corresponding dibenzoxazepines 120.



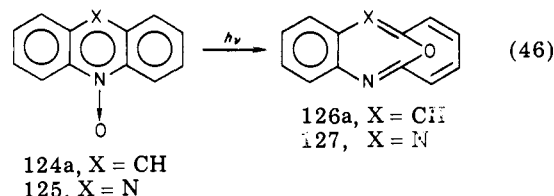
B. Rearrangement to Lactams

The rearrangement to the corresponding lactams takes place in high yield by irradiation of the *N*-oxides 114, 115, and 118 in protic media.^{5,126} Alkyl or phenyl groups originally in the 6-position of phenanthridine *N*-oxides migrate to the nitrogen atom, yielding 121. When an *N*-oxide carrying a chiral substituent has been studied, it was determined that the migrating center

loses its configuration.⁵ Although the *N*-cyano derivative 121b is formed as a minor product in aprotic solvents, the photolysis of 118b (Scheme XI) in ethanol does not yield the lactam but the *N*-ethoxy derivative 122, which has been rationalized as arising from the solvolysis of the intermediate oxaziridine 123.¹²⁷

VII. Azaanthracene *N*-Oxides

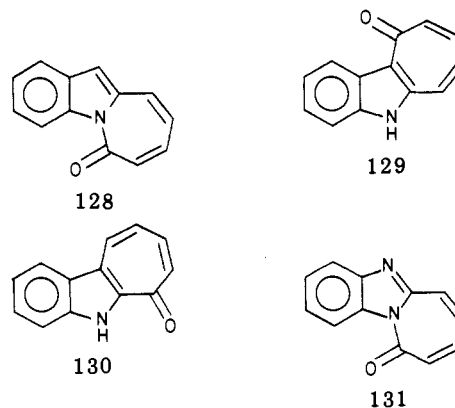
There are no reports about the photochemistry of 1- and 2-azaanthracene *N*-oxides, which would be expected—at least at a naive guess—to behave similarly to quinoline and isoquinoline *N*-oxides, respectively. On the contrary, the photochemistry of acridine and phenazine *N*-oxide (124 and 125) has been explored extensively. Several types of photoprocesses have been



recognized, which are generally different from those observed from azanaphthalene *N*-oxides. Thus, ring enlargement to form 1,3-oxazepines is observed only as a minor process from some acridine *N*-oxides^{128,129a} and in trace amounts from phenazine *N*-oxide.¹³⁰ Phenazine *N,N'*-dioxide is reported to undergo this type of rearrangement, but the product identification is somewhat ambiguous.^{129b} Notice that in the case of azaanthracene *N*-oxides, this type of rearrangement involves the loss of aromaticity of two benzene rings, which is only partially compensated for by the formation of the probably planar annulene system of compounds 126 and 127. Nor is any process comparable to the quinoline *N*-oxide-carbostyryl rearrangement observed.

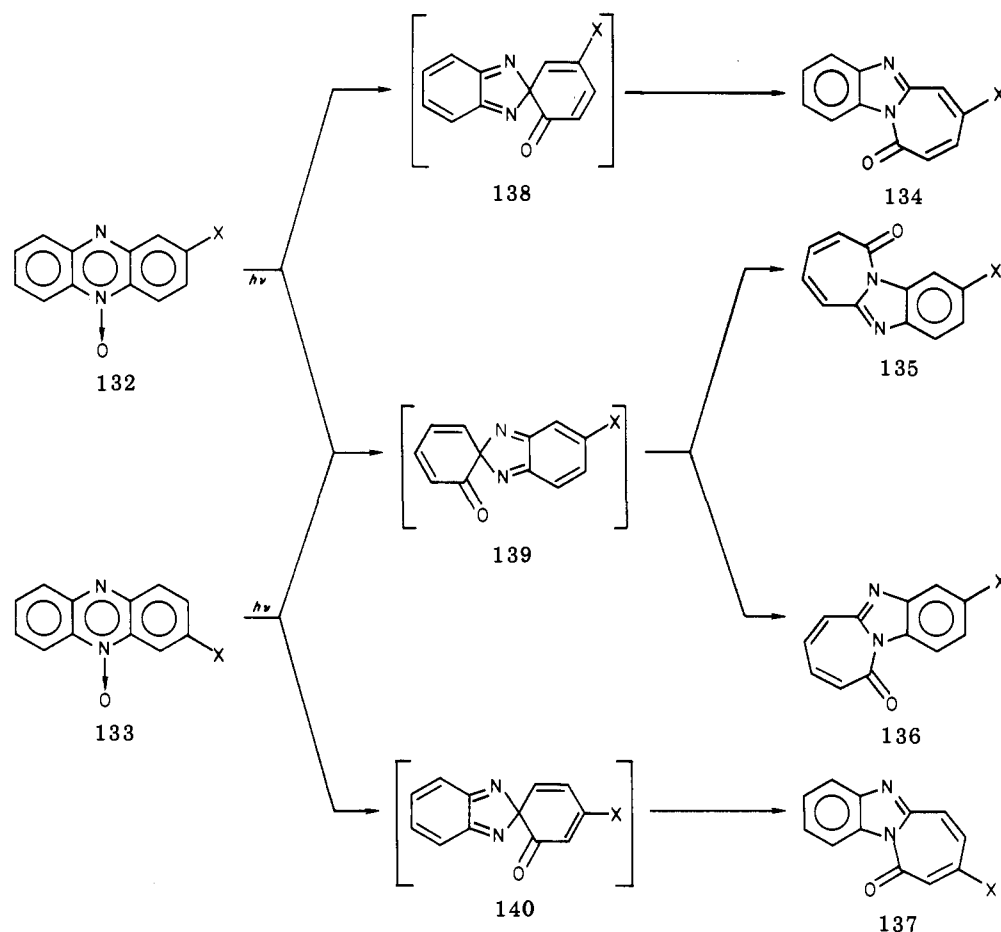
A. Ring Contraction and Ring Cleavage

The photochemical reaction which usually predominates in aprotic solvents is ring contraction to acylindoles (128–130 from 124^{128,129a,131}) or acylbenzimidazoles (131 from 125¹³⁰), respectively. A compar-



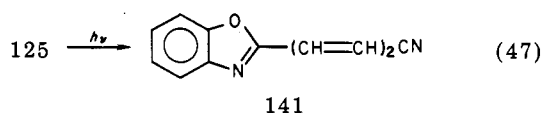
ison with the photochemistry of other *N*-oxides shows that the formation of 2-acylindoles has ample analogy, in particular in the photochemistry of azabenzene *N*-oxides (section IVB), while the formation of 1-acylindoles from the irradiation of quinoline *N*-oxides is generally understood as a secondary process from the primary products, the benzoxazepines.

SCHEME XII



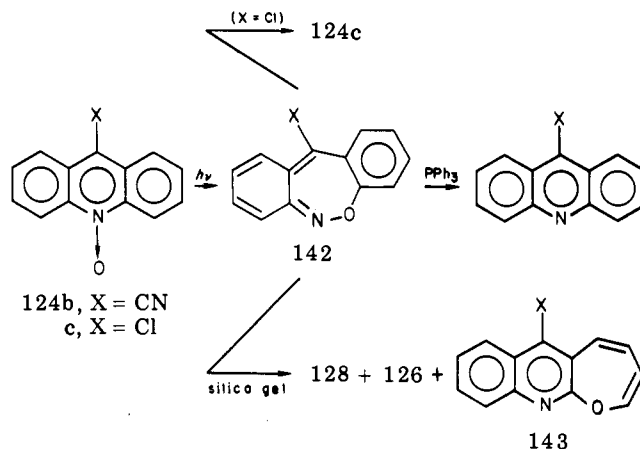
Whichever the mechanism might be in the present case, the intermediacy of the oxazepines 126 and 127 has to be excluded. On the contrary, the study of suitably substituted derivatives has shown that one of the carbocyclic rings is rotated out of the original molecular plane during the rearrangement. Thus, comparing the results from pairs of monosubstituted phenazine 5-oxides, it has been shown that from the 2-substituted *N*-oxide 132 the acylbenzimidazoles 134 to 136 are obtained, while from the isomeric *N*-oxide 133 the products are compounds 135 to 137, the yields of products 135 and 136 being in the same ratio in both cases (Scheme XII).¹³² This finding implies that the oxygen migration is accompanied by rotation of one ring, which at some stage becomes perpendicular to the original molecular plane. The configuration taken during the rearrangement is shown in the scheme by means of the spiro derivatives 138–140, which need not however to be considered well-defined intermediates. The study of disubstituted acridine *N*-oxides had previously led to similar mechanistic conclusions.^{128,129a}

A different process involving the cleavage of the heterocyclic ring has been reported in the case of phenazine *N*-oxide, viz. the rearrangement to the benzoxazole 141.¹³⁰ The exact mechanism of this reaction



is not known, but it might be noticed that this is the only case, besides the quinoxaline *N*-oxide-isonitrile rearrangement, in which the heterocyclic ring is cleaved

SCHEME XIII



between the C_α and C_β atoms.

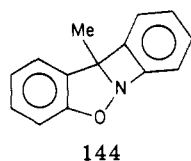
B. Ring Enlargement

While, as it has been discussed above, 1,3-oxazepines are only minor products from this class of *N*-oxides, a different ring enlargement process has been observed from several acridine *N*-oxides, this time leading to 1,2-oxazepines. These products are valence tautomers of the benzo[*b*]oxaziridines often invoked as intermediates in *N*-oxide photorearrangement (Scheme XIII). From 9-cyano- and 9-chloroacridine *N*-oxides, the corresponding dibenzo-1,2-oxazepines (142) have been obtained as stable products^{133,134} while from 9-methylacridine *N*-oxide and from the parent compound

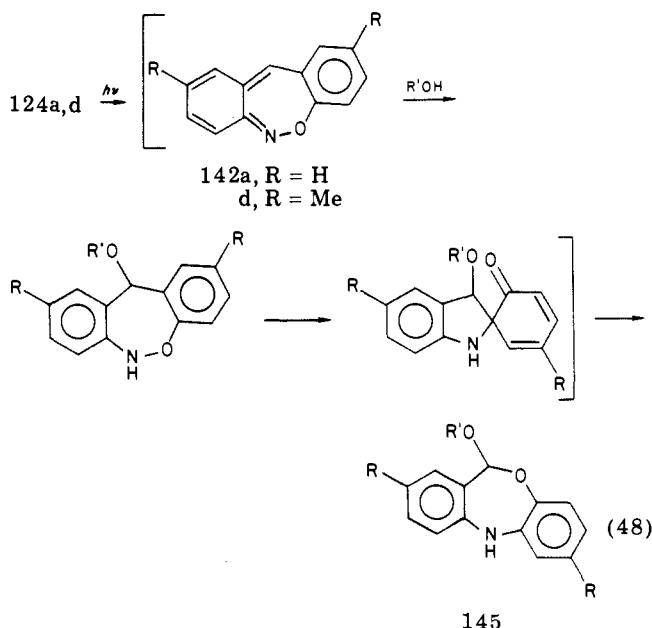
it has been shown spectrophotometrically that similar derivatives are formed as intermediates.¹³⁴

The chemical properties of these 1,2-oxazepines are relevant to the photochemistry of acridine *N*-oxides. Thus, in one case, thermal reversion to the original *N*-oxide has been observed to take place, reasonably via the tautomeric oxaziridine. Furthermore, these compounds are easily deoxygenated to the corresponding acridine, also via the oxaziridine, and, when chromatographed on silica gel, are rearranged to compounds such as 128, 126, and 143, i.e., the same type of products that are obtained from the irradiation, and following chromatography, of all acridine *N*-oxides (see section VIIA and VIIC). This has led to the hypothesis that 1,2-oxazepines can be the general intermediates in the photochemistry of acridine *N*-oxides, further evolving by cleavage of the N–O bond to yield spiro derivatives which are analogues to compounds 138–140 and from them to products such as 128 and 130, or by further oxygen migration via the tautomeric oxaziridine to yield, e.g., 126 and 143.^{131,133,134}

Another secondary process from the not isolated 1,2-oxazepines is the (probably photochemical) electrocyclic rearrangement to dibenzo[*c,f*]-2-oxa-1-azabicyclo[3.2.0]hepta-3,6-diene (144) observed in the case of some methylacridine *N*-oxides.^{129a,181} To the reaction



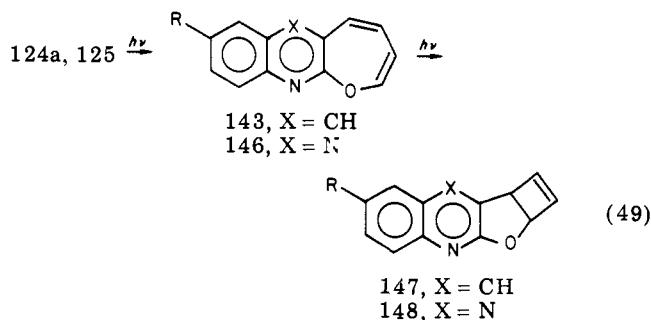
of the solvent with a 1,2-oxazepine has been ascribed also the formation of 11-alkoxy-5,11-dihydrodibenzo[*b,e*][1,4]oxazepines (145) by irradiation of acridine *N*-oxide in alcohols. The study of some disubstituted



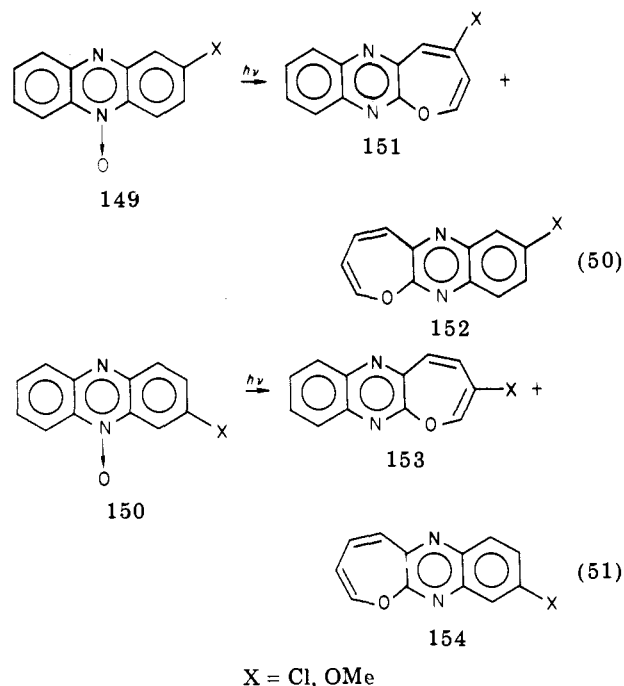
derivatives has shown that during the formation of compound 145 rotation of one carbocyclic ring had taken place.^{129a}

C. Oxygen Migration to the Neighboring Ring

An important process from both acridine and phenazine *N*-oxides is the oxygen migration to the neigh-



boring ring to form oxepinoquinolines and oxepinoquinoxalines, respectively (143 and 146). If the irradiation of the *N*-oxide is carried out to complete consumption, these products are often not isolated as such, as they undergo an efficient secondary photochemical rearrangement to yield products 147 and 148. Whether or not the rearrangement to 143 and 146 involves the intermediacy of an oxaziridine followed by 1,9-sigmatropic shift of the oxygen atom is speculative, but it has been shown that, contrary to the ring contraction process discussed in section VIIA, the molecular plane in this rearrangement is conserved and the oxygen atom migrates only to the two neighboring peri atoms.^{128,129a} Thus, e.g., from the monosubstituted phenazine *N*-oxide 149, the oxepinoquinoxalines 151 and 152 are obtained, while from the isomeric *N*-oxides 150, the products are 153 and 154.¹³² Analogous conclusions



have been reached for the mechanism of the analogous rearrangement from acridine *N*-oxides.^{128,129a}

Similar to what was found in most of the previously discussed cases, the photochemical reactivity of azaanthracene *N*-oxides is strongly solvent dependent. In general, it can be said that ring contraction to acylindoles or benzimidazoles predominates in aprotic solvents, while rearrangement to oxepinoquinolines and, when observed, 1,3-oxazepines predominate in protic solvents, with the additional complication that different processes involving solvent addition are observed during the irradiation of some acridine *N*-oxides in alcohols (Table XII).

TABLE XII. Solvent Effect on the Photolysis of Azaanthracene *N*-Oxides

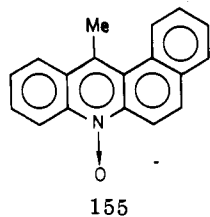
starting material	substituents	solvent	yield of products, %					ref	
			126	142	143	144	145		128-130
124a	none	benzene						57	129a
		MeCN-H ₂ O	12		30			3	129a
		MeOH					80		129a
124d	2,7-dimethyl	benzene	6-9		8-13			55-57	128
		MeOH	19		50		7	2	128
124	2,7,9-trimethyl	benzene	2		10	7		33	129a
		MeOH	15		56			2	129a
124b	9-cyano	benzene		59	3			9	133, 134
			127	146	148		131	141	
125	none	benzene	<i>a</i>	9	<i>a</i>		65	7	130
		MeOH		9	2		18	5	130 ^b
125	2-chloro	MeCN			low		37		132
		MeCN-H ₂ O			42		low		132
125	3-chloro	MeCN			low		51		132
		MeCN-H ₂ O		6	25		low		132

^a Traces. ^b Deoxygenation amounting to 25% is also observed.

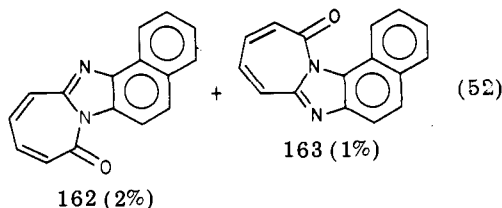
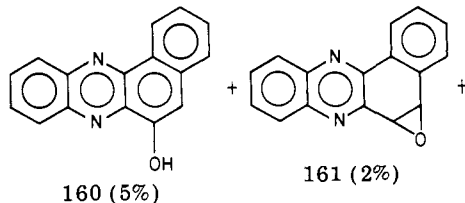
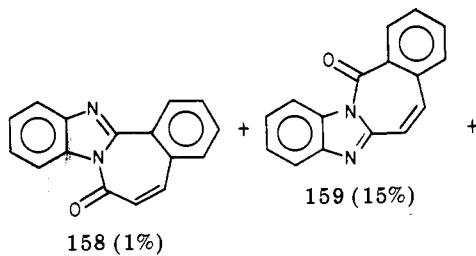
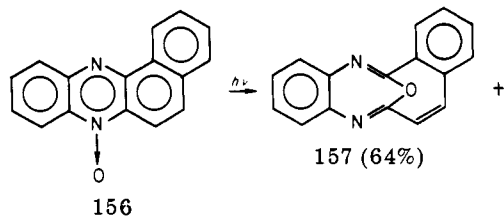
VIII. Other Heterocyclic *N*-Oxides

A. Tetracyclic Aromatic *N*-Oxides

Some *N*-oxides with the skeleton of benz[*a*]anthracene and tetracene have been investigated from the photochemical point of view. As for benz[*a*]acridine *N*-oxide (155)¹³⁵ and benzo[*a*]phenazine 7-oxide (156),¹³⁶

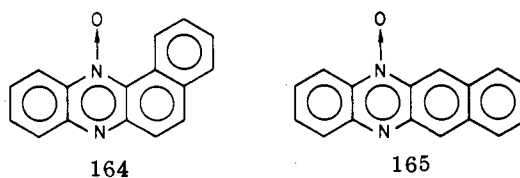


the main process is, similar to that found for azana-



phthalene *N*-oxides, ring enlargement to 1,3-oxazepines, accompanied by other rearrangements in lesser yield. The oxygen atom migrates toward the naphthalene rather than the benzene ring, a fact which might be related to the lesser loss of aromaticity. The product distribution from the irradiation of 156 in ethanol is shown in eq 52 (the yields in acetonitrile are not much different). Most of the compounds shown can be considered primary photoproducts as the annulene 157 is photochemically stable on irradiation with Pyrex-filtered light, although irradiation at 254 nm causes further reaction, with shift of the oxygen atom.¹³⁶

In the case of benzo[*a*]phenazine 12-oxide (164), however, migration toward the benzene ring to give the ring contraction products 162 and 163 (in 25 and 20% yield, respectively) takes place concurrently with migration toward the naphthalene ring, which gives the annulene 157 (30% yield).¹³⁵ Finally, benzo[*b*]-

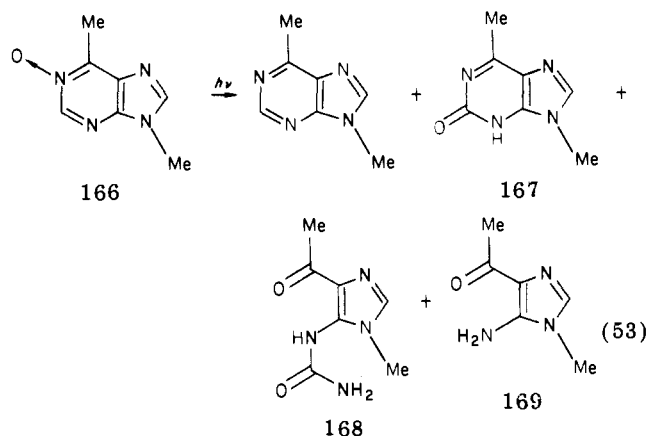


phenazine *N*-oxide (165) has not been found to undergo photochemical rearrangement, the only consequence of irradiation being deoxygenation.¹⁰⁴

B. Purine *N*-Oxides

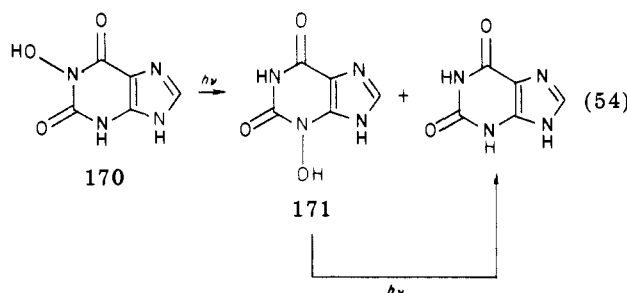
Previous studies show that deoxygenation usually is the main photochemical reaction of purine *N*-oxides. However, in some cases photorearrangement also has been observed.^{5,137-139a} Thus, 6,9-dimethylpurine 1-oxide (166) undergoes oxygen migration in both the possible directions yielding the lactam 167 and the ring cleavage products 168 and 169.¹⁴⁰ The same type of process has been reported for 6-methylpurine 1-oxide; in this case, however, as well as in the case of purine 1-oxide itself, the product distribution is strongly pH dependent.¹⁴⁰

In general, an important problem when studying the photochemistry of purine *N*-oxides is to establish which tautomeric form absorbs the light and is in fact involved in the photoreaction. The problem is further complicated in the case of hydroxy- and aminopurine *N*-



oxides. In several cases, the reaction has to be ascribed to the N-OH rather than to the N→O function.

At any rate, some of these reactions have biological relevance, as in the case of the photorearrangement of the 1-hydroxyxanthine (170) to the carcinogenic 3-hydroxyxanthine (171),^{141a} or preparative interest, as is shown in the synthesis of isoguanine nucleotides by photolysis of some adenine 1-oxides.¹⁴¹



IX. Photochemical Deoxygenation

Deoxygenation is usually not the predominant process in the photochemistry of compounds containing the *N*-oxide function. However, this is a very common byprocess. Even if, in suitable conditions, photochemical deoxygenation can be made to become a quantitative process,^{142,143} this can hardly be considered to have any synthetic advantage over the corresponding thermal methods, except in special cases, e.g., when it is desired to reduce the *N*-oxide group without affecting a nitro group also present in the molecule;¹⁴⁵ however, also in this case a selective thermal deoxygenation is possible.

Nevertheless, it is worthwhile to discuss in more detail this reaction, mainly under two aspects: (i) the relationship between deoxygenation and rearrangement with regard to the excited state implied and to the structural factors which favor one or the other pathway; and (ii) the mechanism of the oxygen transfer to the acceptor and the practical use of *N*-oxides for the oxidation of various substrates.

A. Deoxygenation vs. Rearrangement

Two interpretations have been given to the photochemical deoxygenation of *N*-oxides, namely (i) that analogously to the geometrical isomerization of nitrones it proceeds from the triplet state, while skeleton rearrangements proceed from the singlet state, or (ii) that both reactions are singlet and involve the same intermediate, an oxaziridine, which can both transfer an oxygen atom to the medium and rearrange further. Both hypotheses often have been presented, although

TABLE XIII. Percent Yield of Photoreduction from Substituted Pyridine *N*-Oxides⁵¹

substituent	position of substituent		
	2	3	4
none	7		
Me	5		5
CN	23	25	5
OMe	0		0

TABLE XIV. Percent Yield of Photoreduction from Various Azabenzene *N*-Oxides

starting material	substituent	solvent	yield	ref
pyridazine	none	CH ₂ Cl ₂	20-25	74
<i>N</i> -oxide	alkyl	CH ₂ Cl ₂	25-35	73, 74
	phenyl	CH ₂ Cl ₂	15-30	74-76
	alkoxy, hydroxy	CH ₂ Cl ₂	20-30	74
pyrazine	2,5-	benzene	50	5
<i>N</i> -oxide	diphenyl			
1,2,4-triazine	alkyl, phenyl	Et ₂ O	42-56	89
4-oxide				

direct experimental support (such as sensitization or quenching experiments in the first case or the ascertainment of the oxaziridine intermediacy and of its ability to transfer oxygen) is scarce, as it will be discussed in section X. Presently, the factors which affect the ratio of deoxygenation vs. rearrangement will be discussed.

In some cases, an effect of the irradiation wavelength has been observed.^{5,85} This might be related to a different intersystem-crossing yield from higher singlet to triplet states. The medium also plays a role with polar or protic solvents generally favoring deoxygenation. The yield in deoxygenation depends on the structure of the *N*-oxide. Although a comparison of studies carried out in different conditions can be misleading, it clearly appears that among heterocyclic *N*-oxides, electron-withdrawing substituents favor photoreduction. Thus, among pyridine *N*-oxides, a cyano group has a positive influence, a methoxy group a negative one (see Table XIII). Furthermore, 2,6-dicyanopyridine *N*-oxide reaches a 12% yield of photodeoxygenation in dichloromethane,⁸² and yields of about 20% are observed when the carboxyl or carboxamide group are present.¹⁴⁴ From polyphenylpyridine *N*-oxides yields between 10 and 29% have been reported.⁸⁵ Aza substitution is also effective. Thus, while pyrimidine *N*-oxides, in which the second nitrogen atom has little electronic effect, do not differ appreciably from pyridine *N*-oxides, with at most some percent of deoxygenation,^{5,77,80,84} pyridazine and pyrazine *N*-oxides are photodeoxygenated in much higher yields (Table XIV). These effects should be related to a change in the order of $n\pi^*$ and $\pi\pi^*$ excited states unless, in the light of the other hypothesis, they are related to a higher stability of the oxaziridine, which has thus more time to transfer oxygen to some substrate.

The idea that deoxygenation of heteroaromatic *N*-oxides is related to an $n\pi^*$ triplet state could find support in the lesser yield of this process from higher members of the series, in which the lowest triplet is probably $\pi\pi^*$. Thus, quinoline and isoquinoline *N*-oxides undergo practically no photoreduction, and the yields differ by only a few percent from those of their alkyl derivatives. However, the effect of substituents is also observed here, with yields of about 10% when

TABLE XV. Percent Yield of Photoreduction from Some Heterocyclic *N*-Oxides in the Presence of Acceptors

starting material	substituent	solvent	
		CH ₂ Cl ₂ ^a	C ₆ H ₆ ^b
quinoline <i>N</i> -oxide	none, alkyl		81-83
	2-phenyl	29	69
	3-phenyl		76
	4-chloro		85
	4-bromo		78
isoquinoline <i>N</i> -oxide	2-cyano	88	
	none		81
phenanthridine <i>N</i> -oxide	1-cyano	78	
	6-cyano	88	95

^a On irradiation in the presence of triphenylphosphine.¹⁴² ^b On irradiation in the presence of boron trifluoride etherate.¹⁴³

a phenyl and carboxyl group are present.¹⁰⁶ While a methoxy group in the heterocyclic ring has no effect,¹⁰¹ photoreduction amounts to 40% from 6-methoxy-2-methylquinoline 1-oxide.⁵ Two substituents may have a synergic effect, as is shown in the case of 4-methoxy-2-cyanoquinoline 1-oxide, which gives 80% of the corresponding quinoline.⁵

Among polyazanaphthalene *N*-oxides, the *N*-oxides of phthalazine,⁷² quinolizine,⁵ quinoxaline,^{5,113} and benzotriazine¹¹⁵ undergo little or no deoxygenation, while higher yields are obtained from cinnoline *N*-oxides, confirming the effect of two neighboring nitrogen atoms already noticed for pyridazine *N*-oxides. However, high yields (42% from 4-methylcinnoline 1-oxide and 58% from the corresponding 2-oxide¹²³) are observed only in nitrogen-flushed methanol, the presence of oxygen lowering substantially the yields.

The same trend is observed in the *N*-oxides of aza-phenanthrene and azaanthracene. Thus, deoxygenation is a minor process in phenanthridine *N*-oxides,¹²⁶ but it is the only photochemical process observed in benzo[*c*]cinnoline *N*-oxide,⁵ and similarly deoxygenation of acridine *N*-oxides is almost negligible,^{26,133} but phenazine *N*-oxide is deoxygenated with a 68% yield in nitrogen-flushed methanol¹³⁰ (but only 25% in oxygen-saturated methanol) and deoxygenation is the only photochemical process from nitrophenazine *N*-oxides.¹⁴⁶ Photodeoxygenation is the main process from purine *N*-oxides,^{138-141,147-149} both in organic solvents, for

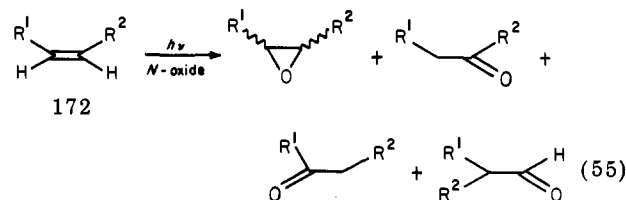
molecules which are soluble enough to allow such a study (e.g., yields of 42-74% are reported from 7-methyl-1-hydroxyhypoxanthine¹³⁸) and in water (at least when the neutral form or the cation are present, the yields at high pH being in general much lower). Triplet sensitizers enhance the yield.¹³⁸ Pteridine *N*-oxides behave similarly.^{139a} Except for the purine *N*-oxides, the pH dependence of photodeoxygenation has been little investigated. Notice that in the case of 2-cyanoquinoline *N*-oxide, irradiation in acidified methanol leads to 6-methoxy-2-cyanoquinoline with simultaneous deoxygenation and solvent addition.^{139b}

B. Chemistry of Oxygen Transfer from *N*-Oxides

Under suitable conditions, a high yield of the corresponding imine is obtained from the irradiation of *N*-oxides. The best acceptors are Lewis acids, such as boron trifluoride¹⁴³ and triphenylphosphine.¹⁴² Amines, too, are effective in some cases.¹⁴⁶ As the same reagents are effective also for the thermal deoxygenation, the interest of the photochemical method is restricted to possible cases in which mild conditions are required.¹⁴⁵ See Table XV.

It is more interesting to consider the other side of the reaction, i.e., the use of *N*-oxides as photochemical oxidizers. Apart from the fact that the photochemical deoxygenation of heterocyclic *N*-oxides incorporated in polymeric chains has been found to be effective in initiating the cross-linking of acrylic polymers,¹⁵⁰ a large variety of substrates has been found to be liable to oxidation by means of *N*-oxides in photolytic conditions, including hydrocarbons, oxygenated derivatives, heterocyclic derivatives and other substrates.^{71,156-164} See Table XVI.

The *N*-oxide is usually chosen among those more apt to photodeoxygenation, e.g., pyridazine *N*-oxides. However, when this point has been investigated, as it has been by using alkenes and aromatics as substrates, the product distribution has been found not to be much

TABLE XVI. Substrates Photooxidized by Heterocyclic *N*-Oxides

class	example	products ^d	<i>N</i> -oxide	solvent	ref
alkanes	cyclohexane	cyclohexanol	<i>a</i>	CH ₂ Cl ₂	155
alkenes	<i>cis</i> -4-methylpent-2-ene	<i>cis</i> and <i>trans</i> epoxide	<i>b</i>	CH ₂ Cl ₂	167
	<i>trans</i> -4-methylpent-2-ene	<i>trans</i> epoxide	<i>b</i>	CH ₂ Cl ₂	167
	cyclohexene	epoxide and cyclohexanone	<i>a, b</i>	various	156-158
	styrene	epoxide and acetophenone	<i>b</i>	CH ₂ Cl ₂	156
aromatics	benzene	phenol (30)	<i>a</i>	neat	155
	toluene	<i>p</i> -methylphenol (30)	<i>a</i>	neat	155, 167
	durene	tetramethylphenol (18),	<i>a</i>	CH ₂ Cl ₂	159
		trimethylphenol (3)			
	anisole	<i>p</i> -methoxyphenol	<i>a</i>	various	167
	naphthalene	1-naphthol	<i>b</i>	CH ₂ Cl ₂	154, 167
anthracene ^e	various phenols	<i>b</i>	various	161	
alcohols	MeOH, EtOH	aldehydes	<i>b</i>	neat	
ethers	2-methyltetrahydrofuran	unknown	<i>c</i>	neat	163
epoxides	alkyl or phenyl epoxides	ketones (20-70)	<i>b</i>	CH ₂ Cl ₂	164
sulfides	alkyl sulfides	alkyl sulfoxides	<i>b</i>	CH ₂ Cl ₂	167
	phosphine sulfides	phosphine oxides	<i>a</i>	CH ₂ Cl ₂	165
heterocycles	triptamine	epoxide	<i>b</i>	CH ₂ Cl ₂	71, 166

^a 3-Methylpyridazine 2-oxide. ^b Pyridine *N*-oxide. ^c 6-Cyanophenanthridine *N*-oxide. ^d Yields in parentheses. ^e Includes higher condensed hydrocarbons.

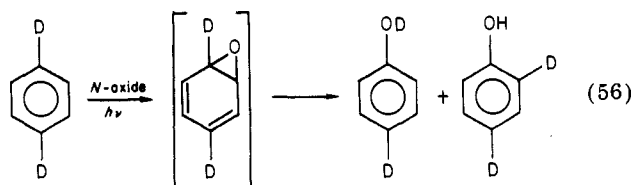
TABLE XVII. Percentage of Deuterium Retention by Photooxidation of the Benzene Derivatives 173

X	method ^a		ref
	a	b	
Me	51, 59	54	165, 167
OMe	45, 49	60	165, 167
Cl	62, 64	54	165, 167
Br	49	40	167
CONH ₂	28	30	167

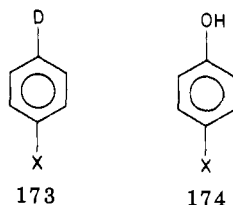
^a a, by photooxygenation with *N*-oxides. b, by microsomal oxidation.

influenced when the *N*-oxide is changed.^{157,167} The action of *N*-oxides as photochemical oxidizers is remarkable both for its wide scope (notice, e.g., that simple alkenes are epoxidized, while thermally only electron-poor or strained alkynes^{151,152} and isocyanates¹⁵³ react with *N*-oxides to give ylides) and for its specificity. In epoxides, oxygen is inserted in the C–O bond to give dioxetanes, which then cleave to ketones.¹¹⁸

From aromatics, benzene oxides are formed, which then rearrange to phenols (from naphthalene, 1-naphthol is obtained).¹⁵⁴ Due to this two-stage mechanism in the hydrogen atom originally linked to the attacked position in part shifts to the vicinal position, and thus starting from deuterated molecules the deuterium is found in part as exchangeable phenolic deuterium, in part linked to a vicinal carbon atom (N.I.H. shift^{154,167}). See Table XVII. The interest lies in the fact that the same phenomenon is observed in microsomal oxidation, so that photochemical reaction with *N*-oxides can be considered one of the best models of biological oxidation. Thus, e.g., the percentage of

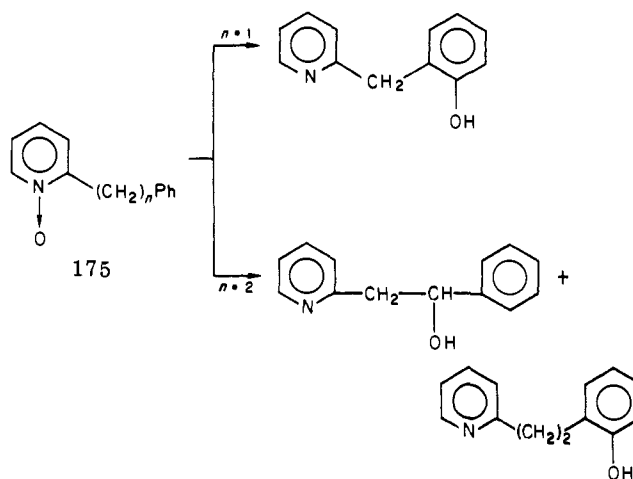


deuterium retained in the phenols 174 prepared from the deuterated benzenes 173 by photooxidation or microsomal oxidation is remarkably similar.^{165,167}

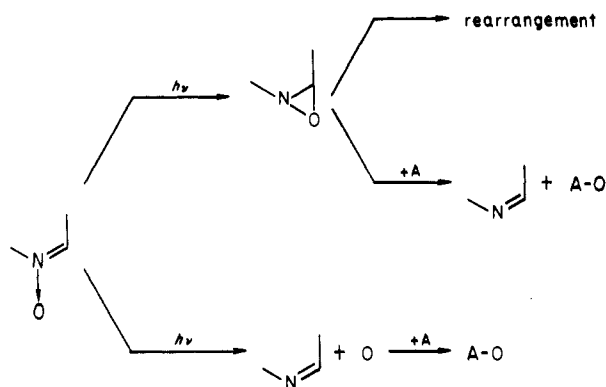


Oxygen transfer to the aromatic or benzylic position occurs also intramolecularly in suitably substituted *N*-oxides, e.g., from the pyridine *N*-oxides 175.⁵³ See Scheme XIV. The yields are higher than in the intermolecular case, allowing the hypothesis that in this case oxygen is directly transferred via a five- or six-membered intermediate, analogously to what was found in the case of the azoxy to hydroxyazobenzene rearrangement (see section IID),⁵¹ and that the same holds for the intramolecular oxygen transfer in the case of the nitron 32 (see eq 14).⁵² The photochemical reaction of compound 175 has a partial thermal analogy in thermal chemistry, as 2-methyl-, 2-ethyl- and 2-benzylpyridine *N*-oxides have been shown to eliminate

SCHEME XIV



SCHEME XV



OH· by flash vacuum pyrolysis yielding the corresponding 2-pyridinemethyl radicals.¹⁶⁸

For the general case the mechanism of the oxygen transfer has not been fully elucidated as yet. The ratio of deoxygenation vs. rearrangement has been found to depend on the acceptor concentration for several *N*-oxides in the case of the reaction with triphenylphosphine, 1.2 molar equivalents being sufficient to cause quantitative deoxygenation in the case of some phenanthridine *N*-oxides, but higher amounts being necessary in other cases.¹⁴² This has been interpreted as an evidence for the involvement of an oxaziridine in the oxygen transfer (Scheme XV). This hypothesis has been considered also by other authors,¹⁶⁷ but it remains speculative to a certain extent, as the oxygen transfer from oxaziridines is not completely predictable, as some model compounds do transfer oxygen to some substrates, whereas from some other ones different reactions predominate (see section XD).

On the other side, the ratio of consumption of some pyridazine *N*-oxides and the amount of pyridazine formed have been found not to be affected by the presence of acceptors, although the yield of the oxidized products from the substrate does grow with the acceptor concentration. This has led to the opposite hypothesis that oxygen is liberated directly from the excited *N*-oxide (as atomic oxygen, "oxene") and then intercepted by the acceptor.¹⁵⁷ In another connection the liberation of oxygen as radical anion has been hypothesized.¹⁵⁰ The results obtained by photochemical oxidation with the *N*-oxides differ from those obtained with O (³P) atoms, but evidence for the electrophilic character of

the oxidizing species has been found.¹⁶⁰

X. Mechanism of the Photoreaction

As has been shown in the previous sections, the *N*-oxide function exhibits a multifaceted photochemical reactivity. The primary photoprocess, understood as the one which leads to a ground-state product of reasonable stability, can be said to be clearly ascertained in the case of nitrones and azoxy derivatives. These compounds undergo electrocyclic ring closure to three-membered rings. These primary products have been isolated in a number of cases and the pathway of further reactions has been well characterized. On the contrary, heterocyclic *N*-oxides undergo various types of photoprocesses, several of which can be considered primary processes as no intermediates have been detected so far, although some other products are clearly recognized as arising from secondary processes. Thus, attempts to classify the entire *N*-oxide photochemistry under a common primary photoprocess cannot be said to be substantiated by the evidence available up to now. Considerable effort has been given by several groups to the elucidation of the mechanism, and the main points which have emerged will be discussed in the following sections.

A. Excited State Involved in the Photoreaction

It appears by now generally acknowledged that the rearrangement of the *N*-oxide group originates from the lowest singlet excited state. This conclusion is based upon some negative evidence, such as the failure to sensitize^{100,169,170} or quench¹⁷¹ the photorearrangement, as well as upon some positive evidence, viz., the demonstration that the primary photoprocess occurs at a much faster rate than the triplet decay^{111,170} and that enhancement of the intersystem-crossing rate by inter- or intramolecular heavy atom effect lowers the rearrangement quantum yield.¹⁶⁹

Although the above mentioned evidence is limited in number and scattered among different substrates and conditions, the recognition of the singlet character of the rearrangement appears convincing, as the *N*-oxide rearrangement, differently from the photo-deoxygenation, is an ubiquitous process, generally observed under different experimental conditions, with little effect of dissolved oxygen.

The nature of the lowest excited state of heteroaromatic *N*-oxides has been discussed many times. Several groups have carried out calculations of the electronic absorption spectrum of pyridine *N*-oxide.^{5,172-176} As it has been still recently confirmed, most semiempirical methods give a $n\pi^*(A_2)$ transition as the lowest in energy.¹⁷⁷ An easily distinguishable $n\pi^*$ band is generally not observed in *N*-oxides, but it could be submerged under a more intense $\pi\pi^*$ absorption band.¹⁷⁴ At any rate, even the lowest $\pi\pi^*$ state (B_2), which is predicted to be the lowest excited state by ab initio methods, has a strong charge transfer character from the oxygen atom to the aromatic nucleus.¹⁷⁸ This is reflected in the well-known blue shift undergone by the absorption spectrum in going from apolar to polar or protic solvents (see section XB).

N-oxides generally are only weakly emitting at room temperature in fluid solution. This fact, together with

the high quantum yield of the photochemical reaction, makes the measurement of fluorescence spectra troublesome, at least with the lower members of the series. Azaanthracene *N*-oxides, however, fluoresce strongly, and emission was detected also from some methoxy-azanaphthalene *N*-oxides.¹⁶⁹ The fluorescence is stronger in protic solvents, e.g., from phenazine *N*-oxide a fluorescence quantum yield of 0.17 in water and 0.012 in acetonitrile was measured.¹⁷⁹ A further increase is observed in acidic medium.¹⁶⁹ In the case of 6-cyano-phenanthridine *N*-oxide, it was shown that fluorescence is also temperature dependent. In this case the emission, which is almost undetectable at room temperature, grows strongly at low temperature, while the contrary behavior is observed for the reaction quantum yield.¹⁶³

An attempt to correlate the photochemical reactions observed with the characteristics of the singlet excited state has been made for a series of phenazine *N*-oxides, in which it has been shown that the *N*-oxides, which show a stronger solvatochromy of the absorption spectrum, and thus probably have singlet states of more pronounced charge-transfer character, rearrange mainly to products of type 131, while those which show little solvatochromy rearrange mainly to products of type 146.¹⁸⁰

Positive evidence about the triplet state of *N*-oxides is also limited. Analogous to fluorescence, phosphorescence is generally very weak, and care is required to distinguish authentic phosphorescence from the *N*-oxide from the much stronger emission from the photoproducts. However, in the case of isoquinoline *N*-oxides the triplet state has been identified both by its emission¹⁷⁰ and T-T absorbance in flash photolysis^{170,111} (τ 60 ms in EPA glass at 77 °C, 30 μ s in methanol solution at room temperature¹⁷⁰).

As for the chemistry of the triplet state, there is evidence for its involvement in the geometric isomerization of nitrones,^{181,182} in the deoxygenation of nitrones (for which only high-energy sensitizers are effective, so that high lying triplet states rather than the lowest triplet are thought to be involved¹⁶), and in the deoxygenation of heteroaromatic *N*-oxides.^{143,170,171,183,184} In several other cases deoxygenation from the triplet state has been suggested on the basis of the lower yield of deoxygenated products in oxygen saturated solvents (e.g., 33 vs. 47% from 2-cyanopyridine *N*-oxide,¹⁷¹ 25 vs. 68% from phenazine *N*-oxide¹³⁰), although this observation alone is obviously not conclusive. Furthermore, in the case of some quinoline *N*-oxides it has been shown that quenching by heavy atom containing anions enhance the intersystem crossing and lowers the rearrangement yield, once again showing that the triplet is not involved in the rearrangement.¹⁶⁹

B. Influence of the Solvent

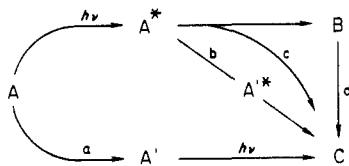
The problem of the excited state involved in the photoreaction is connected also with the influence of the solvent. Molecules containing the *N*-oxide function are strongly polar and easily form hydrogen bonds or complexes with protic species. As an example, complexes are formed with acids and water, which do not behave ideally, as has been shown by several spectroscopic investigations.^{185,186} From the photochemical point of view the most interesting fact is the dramatic change in the product distribution generally observed

TABLE XVIII. Quantum Yield of the Photochemical Decomposition of Some *N*-Oxides

substrate ^a	ϕ_{dec}			ref
	C ₆ H ₁₂ or C ₆ H ₆	EtOH or MeOH	H ₂ O	
α -(<i>n</i> -propyl)- <i>N</i> -cyclohexylnitrone	0.49	0.42		187
α -phenyl- <i>N</i> -methylnitrone	0.49	0.36		187
α , <i>N</i> -diphenylnitrone	0.52	0.36		187
pyridine <i>N</i> -oxide			0.10	104
quinoline <i>N</i> -oxide	0.30		0.20	104
2-phenylquinoline <i>N</i> -oxide	0.38	0.32		100
isoquinoline <i>N</i> -oxide	0.16	0.10	0.08	104, 100
quinoxaline <i>N</i> -oxide	0.39		0.18	104
2,3-dimethylquinoxaline <i>N</i> -oxide	0.31		0.32	104
1-azaphenanthrene <i>N</i> -oxide	0.33		0.16	126
4-azaphenanthrene <i>N</i> -oxide	0.22		0.03	126
phenanthridine <i>N</i> -oxide	0.54		0.23	126
phenazine <i>N</i> -oxide	0.06		0.015	179

^a Systematic names for the three nitrones: *N*-butyridenecyclohexanamine *N*-oxide, *N*-benzylidenemethanamine *N*-oxide, *N*-benzylidenebenzenamine *N*-oxide.

SCHEME XVI



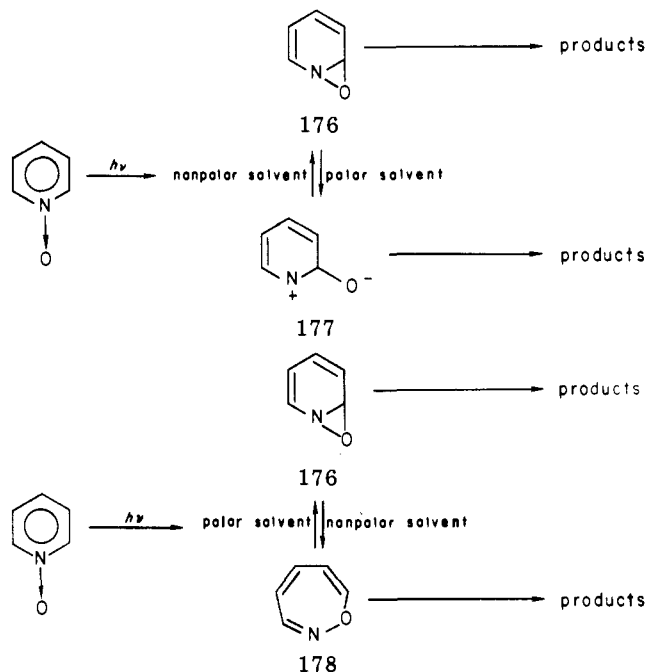
upon changing the solvent, particularly in going from aprotic to protic solvents, while the polarity of the medium usually has little effect as long as aprotic solvents are employed.

In principle, the interaction with the solvent which brings about the preference for the formation of product B (Scheme XVI) rather than product C can occur at different stages of the photoreaction starting from A: the solvent interacts (a) with the ground state of A, or (b) with the reactive excited state of A, or (c) at some stage during the conversion of A into the primary photoproduct, or (d) with the primary product itself, which is thus influenced in its further reaction.

As has been previously discussed, in several instances the primary photoproducts react with protic solvents, and thus the products that are actually isolated arise from some thermal reaction after the initial photochemical step, as is the case of indole from the photolysis of quinoline *N*-oxide. This case (case d) should be easily distinguishable by means of an accurate chemical analysis. At any rate, most mechanistic discussions of the photochemistry of *N*-oxides lend more importance to the last steps, i.e., it is felt that the "photophysical" part of the process (steps a and b) remains unaffected, while the solvent interacts at some later stage, either influencing the reactivity of an unstable intermediate or influencing the evolution of the chemical system before the attainment of a minimum of energy, the distinction between the two possibilities (cases c and d) being troublesome when the stability of the postulated intermediate is not known (see further discussion in section XC). Examples of this kind of reasoning are the rationalization of the effect of the solvent polarity as affecting the equilibrium between the oxaziridine 176 and the corresponding zwitterion (177)^{5,117} or between oxaziridine and 1,2-oxazepine (178).¹²⁸ See Scheme XVII.

However, in a number of cases it has been recognized that the influence of protic solvent on the photochemical reaction is due to an interaction with the ground

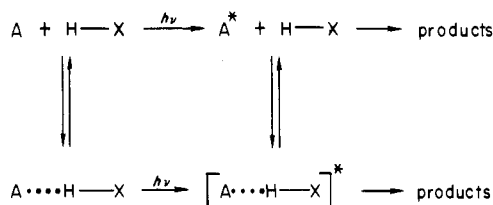
SCHEME XVII



or excited state of the *N*-oxide, before chemical reaction. As for the extreme case of protonation in the ground state, it has been shown for a series of quinoline *N*-oxides that the protonated form is photochemically stable, while exhibiting strong fluorescence and phosphorescence.¹⁶⁹ Particularly interesting is the case of 4-methoxyquinoline *N*-oxide, which is considerably less basic ($pK_s = 0.8$) than in the ground state ($pK = -2.4$), and has been shown to undergo deprotonation before decay of the excited state, so that the plot of the reaction quantum yield vs. pH shows two drops in the correspondence of the two pK values. The fluorescence quantum yield changes in the contrary way.¹⁶⁹

Formation of a hydrogen bond with the solvent is also of major importance. The strength of the hydrogen bond, like basicity, is lowered on excitation, and the existence of a hydrogen-bonding equilibrium in the fluorescent state has been demonstrated for the case of acridine *N*-oxide.¹⁸⁸ Formation of the hydrogen bond influences both the total photochemical quantum yield, which is generally higher in aprotic than in protic solvent for heterocyclic *N*-oxides, but changes little for

SCHEME XVIII



nitrones, and the yield of the different products (Table XVIII).

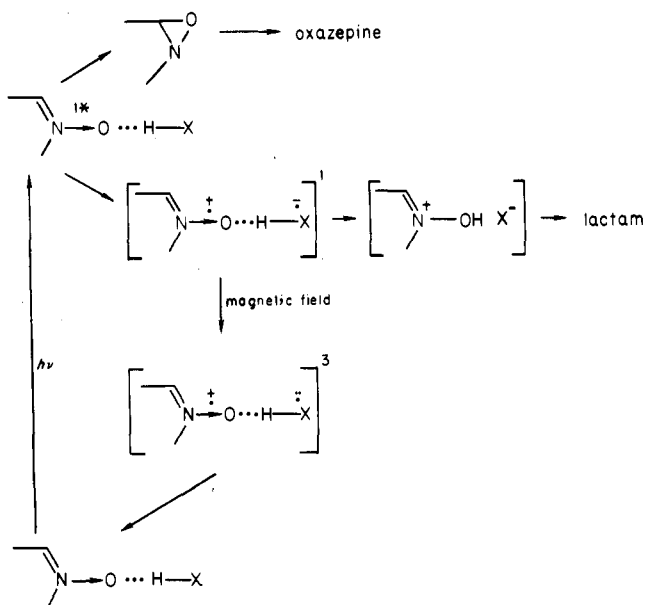
The quantum yield of isocarbostyryl from isoquinoline *N*-oxide sharply increases on adding small amounts of methanol to a benzene solution,¹⁰⁰ and under these conditions a dramatic blue shift of the absorption band shows the formation of the hydrogen bond. In other cases, the hydrogen bond is less stable, and absorption spectrum and product distribution change less sharply. Thus, the quantum yield for the formation of the acylbenzimidazole 131 from phenazine *N*-oxide gradually drops on adding water to an acetonitrile solution, closely matching the variation of the absorption spectrum.¹⁷⁹

These and analogous observations have led to the hypothesis that the hydrogen bond is retained in the excited state (Scheme XVIII) and influences the reaction of the latter towards different products. Hata has postulated that hydrogen-bonded *N*-oxides undergo charge transfer and that it is the excited protonated form that gives rise to the products found in protic solvents.¹⁰⁰ This rationalization disagrees with the reported photochemical stability of the cationic form of *N*-oxides,¹⁸⁹ however, the concept of the formation of a closely tight couple of radical ions is useful for the understanding of the magnetic field effect. Indeed, it has been found that an external magnetic field decreases the yield of isocarbostyryl from isoquinoline *N*-oxide, but has no influence upon the yield of benzoxazepine from 2-cyanoquinoline *N*-oxide.^{189,190} The first reaction is due to the hydrogen-bonded, charge-transfer singlet state, which undergoes an enhanced intersystem crossing to the (unreactive) triplet state in the presence of the magnetic field, while the latter process is thought to occur from the free *N*-oxide via the oxaziridine, and thus is not influenced by the magnetic field (Scheme XIX). When various alcohols were used, it has been shown that the value of the applied magnetic field which is effective in promoting the intersystem crossing is a function of the pK_a of the alcohol, thereby supporting the above rationalization.¹⁹¹

C. Mechanism of the Rearrangement

The exact understanding of the mechanism of the photorearrangement of *N*-oxides cannot be said to have been reached as yet. In the case of nitrones and aliphatic azoxy derivatives the situation has been clarified and the primary photoprocess has been recognized in the electrocyclic rearrangement to three-membered rings. The disrotatory pathway predicted by the Woodward-Hoffmann rules cannot be established from the stereochemistry of the products, as one of the extremes of the dipolar system is an oxygen atom. However, stereospecificity or stereoselectivity with reference to the substituent on the nitrogen atom have been observed in a number of cases (see section IIA) and a

SCHEME XIX



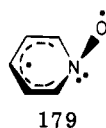
rationalization on an intuitive basis has been given¹³ (on the other hand, among thermal cycloadditions of nitrones stereospecificity also has been observed^{192,193}).

About the propensity of the rearrangement to occur from the excited rather than from the ground state, it has been calculated for a series of nitrones that there is an increase in the $O-C_\alpha$ π bond order in the singlet excited state and that the larger this change is, the larger is the reaction quantum yield.¹⁸⁷

The situation is much more involved in the case of heterocyclic *N*-oxides, as in this case the products that are actually isolated have undergone a profound skeletal rearrangement, and this has prompted the search for intermediates, in order to decompose the reaction into a series of simple steps. Analogy with nitrones obviously suggests oxaziridines as intermediates.¹⁹⁴ Semi-empirical MO calculations show a situation similar to that of nitrones. Thus, Kaneko has shown that the oxygen atom and the α -carbon atom have opposite sign in the ground state, but the same sign in the excited state. Furthermore, when the two α -carbon atoms are different (e.g., quinoline, isoquinoline, and phenanthridine *N*-oxides) migration occurs toward the carbon atom with the larger coefficient in the excited state.¹⁹⁶ In other cases, it has been shown that different semi-empirical methods give contrasting predictions.¹⁹⁵ Kobayashi, while unsatisfied with the criterion of the atomic coefficients, finds that the calculation of the variation of the π bond order in the excited state correctly predicts the sense of the migration for the previously mentioned *N*-oxides as well as for 1,6-naphthyridine *N,N'*-dioxide and 1,6-phenanthroline *N,N'*-dioxide.¹²²

Apart from the plausibility of an oxaziridine as intermediate, which will be discussed below, it is important to notice at this point that this approach postulates that excitation induces no change in the geometry of the molecule, which remains planar. This, however, is unlikely to be true. Indeed, the fact that from the singlet excited state both chemical reaction and internal conversion take place in high yield, while fluorescence and, as far as it is known, intersystem crossing to the triplet are very inefficient, suggests that a severe dis-

tortion occurs on excitation. This fits well with the $n\pi^*$ or CT character of the singlet state, which causes an important loss of aromaticity, and thus probably loss of planarity, e.g., to reach a conformation similar to 179.



Indeed, when no charge transfer is possible, e.g., in the protonated form of *N*-oxides, fluorescence and intersystem crossing become major processes at the expense of the photochemical reaction.¹⁶⁹ It can be noticed that, at least judging from the case of 6-cyanophenanthridine *N*-oxide, the photochemical rearrangement requires a small activation energy.¹⁶³

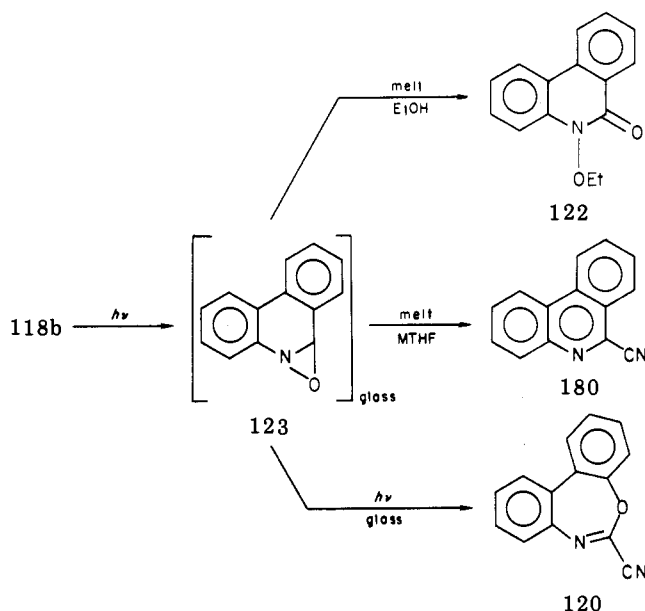
From the above observations about the geometry of the excited state, it follows that, a fortiori, no MO calculation of this kind can be taken as an indication of the effective pathway of the reaction (cf. ref 196). On the other side, a detailed calculation like that carried out for the oxaziridine-formamide conversion,¹⁹⁷ is still a difficult task for such complicated molecules as heterocyclic *N*-oxides.

D. The Search for the Intermediate

The hypothesis that an oxaziridine is primarily formed during the photorearrangement of *N*-oxides is attractive and simple, as it allows the understanding of the different processes as occurring through a common, photochemically "allowed" step (a step involving "two full arrows" in Kaneko's terminology, which is another way to express the generalized Woodward-Hoffmann rules¹⁹⁸) followed by a series of different thermally allowed ("three full arrows") steps. There is little doubt that at some point during the rearrangement the oxygen atom is found between the nitrogen and the carbon atom, but it is still debatable whether there is a minimum of energy corresponding to the oxaziridine configuration, which is thus a real intermediate—at least in principle isolable—and has to be considered the primary photoproduct as the ground state is reached at this point, or the potential energy drops without encountering the ground-state surface or any minimum along the way until the end product configuration is reached. In the latter case, obviously, there is no intermediate, whichever intermediate configuration is taken during the rearrangement.

Among the evidence in favor of the oxaziridine intermediacy, there is the direct isolation in matrix of the oxaziridine 123 from the photolysis of 6-cyanophenanthridine *N*-oxide (118b) (Scheme XX). In this case, the UV spectrum obtained after irradiation of a glassy solution at 77 K and subtraction of the part due to the residual starting material is different from the spectrum of the known photolysis products obtained at room temperature. On melting the glass, product 122 is formed in ethanol and the deoxygenated product 180 in 2-methyltetrahydrofuran (MTHF).¹⁶³ Even at room temperature in ethanol solution, it is observed that a single laser flash does not yield 122, more flashes being required.¹⁹⁹ Furthermore, irradiation of 123 in the glass yields product 120, which is directly obtained by photolysis at room temperature. This kind of evidence clearly shows the presence of some intermediate along

SCHEME XX

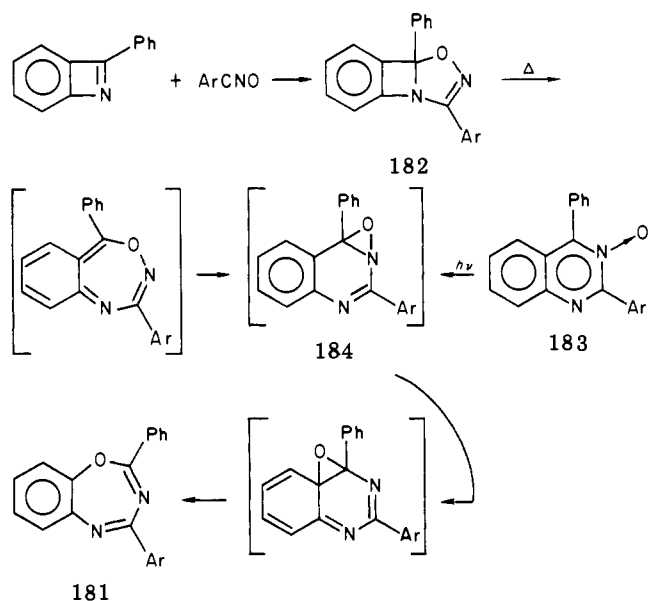


the reaction pathway. The attribution of the oxaziridine structure to this intermediate appears reasonable, although the spectroscopic characterization is limited to a differential UV spectrum. As for the reactions observed from this intermediate, they can be rationalized with the hypothesis of the oxaziridine, although the oxygen transfer to MTHF at -130°C is unexpected. Other intermediates (zwitterions, biradicals) could be invoked equally well. At any rate this study, showing the instability even of those oxaziridines, such as 123, which are "stabilized" by the cyano group (but no oxaziridines have been observed from 2-cyanoquinoline *N*-oxide and 1-cyanoisoquinoline *N*-oxide²⁰⁰), puts severe limits on the concept of an intermediate in the general case of the *N*-oxide photochemistry.

In several cases, however, indirect evidence for the intermediacy of an oxaziridine have been discussed. A first group of evidences is based on the comparison with thermal reactions occurring via oxaziridines. Thus, from some acridine *N*-oxides, 1,2-oxazepines are obtained.^{131,134} These are valence tautomers of oxaziridines, and, in suitable conditions, thermally rearrange to the starting *N*-oxide, a process that can be rationalized as occurring via the oxaziridine (for the connection with the oxaziridine-nitrene rearrangement, see section IIA). However, the relevance of this observation for the establishing of the oxaziridine intermediacy in the photochemical rearrangement of the *N*-oxide has been questioned on the ground that the photochemical rearrangement occurs along a potential energy surface starting from the excited state, which in principle is not related to the surface connecting the ground state of the starting materials and the products, and thus the existence of a minimum at the oxaziridine configuration in the latter surface does not require an analogous minimum in the former one.⁷²

The same kind of criticism can be applied to the observation that the benzoxadiazepine 181 (Scheme XXI) can be obtained both by thermal rearrangement of the azepinooxadiazole 182 and by photolysis of the phthalazine *N*-oxide 183.²⁰¹ Although both reactions can be rationalized as occurring through a series of sigmatropic and electrocyclic reactions involving the

SCHEME XXI

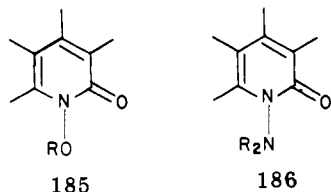


oxaziridine 184, this is not unequivocal evidence of the formation of ground state 184 as the primary photoproduct from 183.

A second body of evidence involves chemical trapping, e.g., with nucleophiles and oxygen acceptors. Oxaziridines are known to oxidize several species, such as Fe^{2+} and I^- ions.²⁰² In several cases the formation of an oxidizing species has been evidenced even when there is no other indication of the intermediacy of an oxaziridine (e.g., liberation of iodine during the photolysis of some quinoline *N*-oxides^{100,203}).

Whether or not oxaziridines are involved in the photochemical oxygen transfer from *N*-oxides to various substrates is difficult to assess, due to the difficulty in establishing how much the invoked benzoxaziridines would differ from bona fide oxaziridines in their chemical properties.²⁰⁴⁻²⁰⁷ Oxaziridines have been found to transfer oxygen to various substrates; in particular, sulfonyloxaziridines have been studied in this respect, and found to transfer oxygen to organic sulfur compounds^{204,205} and alkenes.²⁰⁷ However, with other substrates the reaction is not observed.²⁰⁶ If the above mentioned reaction with methyltetrahydrofuran at -130°C has to be attributed to the oxaziridine 123, benzoxaziridines would be strong oxidizers indeed.

Reaction with alcohols¹⁶³ or amines²⁰⁸ to form products such as 185 and 186 also has been invoked as evidence for the oxaziridine 176, although such trapping could equally well involve an open zwitterionic species, such as 177.⁵ Here again, bona fide oxaziridines have

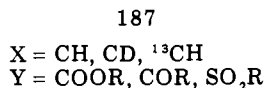
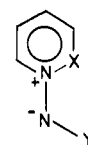


been found to react with nucleophiles, e.g., amines, in different ways,^{209,211} fragmentation rather than nucleophilic opening being observed in some cases. Thus, it appears that caution is needed in rationalizing a trapping reaction as an indication of the intermediacy of an

oxaziridine rather than of some other species.

As has been shown, there is no single piece of evidence that can be considered as unambiguous and definitive proof of the intermediacy of an oxaziridine, although all the evidence taken together does lend some weight to this hypothesis. There is, however, also some evidence against it. Thus, in the case of some isoquinoline and phthalazine *N*-oxides it has been shown that the primary photoproduct (i.e., isocarbostyryl or a diazo derivative, respectively) is formed within a few nanoseconds from the excitation, thereby excluding the existence of an intermediate of any stability at room temperature.^{72,111} On the other side, no intermediate has been detected during the photolysis of pyridine *N*-oxide in matrix at 10 K.^{69,212} Furthermore, the effect of the external magnetic field on some of the photorearrangements has been taken as evidence that at least some of the photoprocesses do not involve an oxaziridine¹⁸⁹ and the same conclusion has been reached from some of the photoprocesses from azaanthracene *N*-oxides, which appear difficult to rationalize via an oxaziridine intermediate.¹⁸⁰

In view of the fact that flash photolysis and matrix experiments have given mainly negative evidence, other ways should perhaps be tried. Thus, in the case of the partially analogous rearrangement of pyridine *N*-imides to 1,2-diazepines, the study of the isotopic effect (D , ^{13}C) on the photolysis of compound 187 gave results inter-

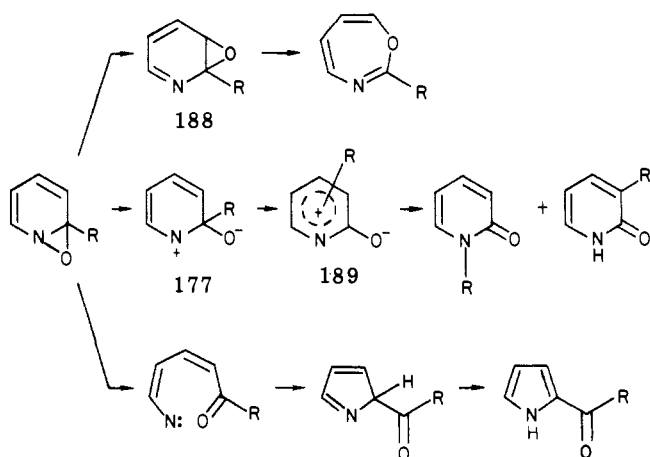


preted as evidence in favor of the intermediacy of a three-membered ring.²¹³ No such experiment has been tried as yet in the field of *N*-oxides.

In conclusion, on the basis of what is presently known, it would appear that one cannot exclude that the excited state of the *N*-oxides is directly converted to the end products without the intermediacy of an oxaziridine, although this hypothesis might seem "daring".⁸⁴ Some light could come from the detailed calculation of the potential energy surface for the photochemical reaction of *N*-oxides, but no such data are available as yet. Furthermore, in some cases, e.g., the formation of isonitriles such as 99 from some quinoline and quinoxaline *N*-oxides, there is really no need to think that the oxaziridine configuration is ever attained during the rearrangement, as the oxygen could equally well directly migrate to the β -carbon atoms.^{101,113}

Nor is there any direct evidence about other species involved during the further evolution of the reaction before the formation of the stable end products, whether arising from the oxaziridine or directly from the excited state. Thus, there is no identification of the epoxide 188, i.e., the valence tautomer of the known oxazepines, or of zwitterionic intermediates 177, or π -complexes 189, or nitrenes (Scheme XXII). Indirect evidence is not unambiguous. Thus, the positive effect of electron-donating substituents upon the formation of lactams has been proposed as evidence for π -com-

SCHEME XXII



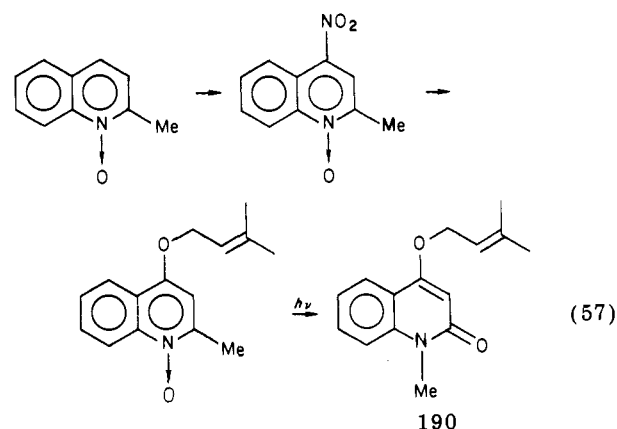
plexes, but, apart from the scarce documentation of this type of intermediate,²¹⁴ this substituent effect could equally well be referred to zwitterions or to a change in the charge-transfer character of the excited state (cf. ref 180). Also, the effect of metallic ions could be due to the stabilization of an intermediate nitrene,^{81a} but again also to the complexation of a biradicaloid intermediate or to the modification of the excited state itself.

XI. Applicative Significance and Conclusions

The previous sections have shown the large variety of products that are formed by photolysis of compounds containing the *N*-oxide function and that the amount of knowledge is sufficient to make the result of the photolysis of a particular substrate often predictable.

Thus, the photorearrangement of *N*-oxides can be considered a valuable synthetic tool, particularly for the preparation of new heterocycles, e.g., oxaziridines and oxazepines. Oxazepines have received less attention than diazepines (which can be analogously prepared by photorearrangement) perhaps because the latter are closely related to compounds of recognized pharmaceutical significance.²¹⁵ However, the chemistry of oxazepines has still to be fully explored and may be more interesting than anticipated. Furthermore, ring contraction offers in some cases a useful entry into some classes of five-membered heterocycles. The synthesis of indole derivatives from cinchona alkaloids by photochemical ring contraction has been patented.²¹⁶ Even cleavage of the heterocyclic ring can have synthetic significance for the preparation of highly unsaturated compounds, e.g., pentadienenitrile derivatives from pyridine *N*-oxides.^{88,70} Furthermore, advantage can be taken of the characteristic reactivity toward electrophilic as well as nucleophilic substitution of *N*-oxides, which can be conveniently exploited before the photochemical rearrangement, as is shown in the elegant synthesis of the alkaloid revenine (190).¹¹⁸

Nor, need the applicative significance be limited to synthetic achievements. Thus, e.g., the *N*-oxide group has been found to be effective in initiating the photocross-linking of polymeric materials. The process is quenched by naphthalene and sensitized by benzophenone, and is thought to be a radical process initiated by hydrogen abstraction on the part of the triplet state.^{150,218} The method has been patented.²¹⁹ Homopolymers and copolymers prepared from 4-vinylpyridine



N-oxide, 2-methyl-5-vinylpyridine *N*-oxide, 4-vinylquinoline *N*-oxide, and 9-vinylacridine *N*-oxide were studied in this respect, and the first three are rather effective. The pyridine *N*-oxide derivatives are the most active, although their absorption limited to the far UV makes these photoinitiators less interesting. Another patented application is the use of metal complexes of heterocyclic *N*-oxides for radiation-sensitive imaging material.²¹⁷

Furthermore, the effectiveness of heterocyclic *N*-oxides as enzyme-mimicking photochemical oxidizers, together with the good absorbance in the near UV or even the visible of some of them, could make it worthwhile to devise some method for biological oxidation based on these compounds.

As has been discussed in section X, a satisfying picture of the rearrangement of heterocyclic *N*-oxides has not yet been reached. A partial cause of the dissatisfaction could lie in the psychological need of chemists to decompose the complicated process leading from the *N*-oxide to the end products into simple steps that might be exaggerated for an excited-state process. It might be that the excited state is so strongly deformed that the search for an intermediate is unreasonable. Still, it would be desirable to have a fuller picture of the excited state and of the stages of the rearrangement, whether these would have to be considered intermediates or not. From the foregoing it would appear that the exploration of the photochemistry of the *N*-oxide function not only has been replete with exciting results, but is still an interesting and challenging field of research.

Acknowledgments. This review was begun when a visit to Professor Buchardt (Copenhagen) was being planned; he is thanked for illuminating discussions. The work in our laboratory has been done in collaboration with Professors Pietra and Bettinetti and with partial support of CNR (Rome). We thank our wives for their help and understanding.

XII. References

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